Alaska Department of Labor and Workforce Development (ADOL) EDI Claims Release 3.1 Information Session

ISO A Verisk Business

For Claim Administrators, Insurance Companies, Third Party Administrators, Self Insured Employers & EDI Service Providers

Introduction of Presenters

Alaska Department of Labor and Workforce Development

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ISO

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Kevin Wagner   wcCapture Business Analyst

Welcome to the ADOL EDI Claims Release 3.1 Information Session

After reviewing this video, questions should be sent in an email to ADOLEDI@ISO.COM with "Info Session Question" in the subject line.

ADOL EDI Claims Release 3.1 Information Session

Overview

Trading Partner Registration

Transitioning from R3.0 to R3.1

Trading Partner and EDI Vendor Testing

EDI R3.1 REQUIREMENTS OVERVIEW

– EVENT TABLE

– ELEMENT REQUIREMENT TABLE

– EDIT MATRIX

– SEQUENCING

QUESTIONS

Transitioning from Release 3 to Release 3.1

Since Alaska has been receiving EDI Release 3 FROI's and SROI's since 2013, we are not going to focus this training on what is in each of the R3.1 requirement tables, as we feel certain you are very familiar with those.

Today we would like to focus on what will be different in R3.1 from what is currently required in Release 3, and where to find important information and resources for Release 3.1.

IAIABC EDI Release 3.1 Resources

To access IAIABC EDI Claims Release 3.1 Guide:
https://www.iaiabc.org and select EDI Standards and EDI Claims

IAIABC EDI CLAIMS STANDARDS

To access IAIABC EDI Claims Release 3.1 Guide:

https://www.iaiabc.org/edi-claims

Claims State Implementation

Download the EDI Jurisdictional Profiles (Members) and EDI Jurisdictional Summary (Public) for implementation insights on all IAIABC EDI Standards.

Below the State Implementation Map are the Claims Release 3.1 Standard Documents

☐ R1 ■ R3 ■ R3.1 ■ Paper ■ N/A
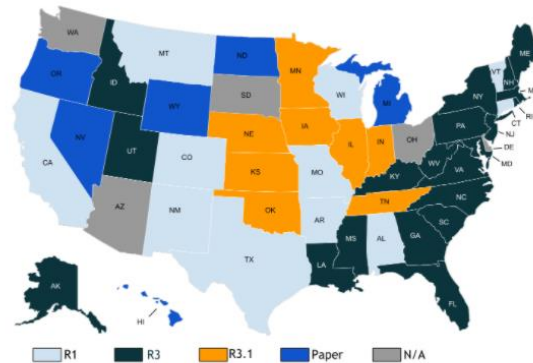
View the Claims Release 3.1 Implementation Schedule and Jurisdictional Notice to Adopt forms.

EDI Claims Release 3.1 Standard Documents

Publication Date: January 1, 2021

Standard documents include:

- Implementation Guide
- Business Scenarios
- Event Table
- Element Requirement Table
- Edit Matrix
- IAIABC XML Schema (new)
- IAIABC XML Flat File Mapping Document (new)
- IAIABC XML User Guide (new)
- IAIABC XML Sample Files (new)
- IAIABC to ACORD XML Comparison (new)
- EDI Claims Release 3.1 XML Transactions ACORD Companion Guide (no longer supported)

DOWNLOAD (IAIABC JURISDICTIONAL AND EDI MEMBERS) OR PURCHASE ACCESS (ASSOCIATE MEMBERS OR NON-MEMBERS)

IAIABC
Find Information. Connect People.

Release 3.1.4

EDI Implementation Guide for Claims
First, Subsequent, Header, Trailer and Acknowledgment Detail Records

Author
IAIABC

Publication Date
01/01/2021

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Alaska's EDI CLAIMS WEBSITE OVERVIEW

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Welcome to the Alaska Department of Labor and Workforce Development (ADOL)
Electronic Data Interchange Website

The State of Alaska Division of Workers' Compensation implemented electronic reporting of workers' compensation claim data on 7/22/2013. Claims reporting includes the first reports of injury (FROI) and subsequent reports of injury (SROI), commonly referred to as compensation reports. All Workers Compensation Claim reporting is submitted via Electronic Data Interchange (EDI) transactions through approved, registered EDI Senders or by paper submissions to Alaska Department of Labor on behalf of approved trading partners.

Currently, Alaska utilizes Claims Release 3.0 reporting standards adopted by the International Association of Industrial Accident Boards and Commissions (IAIABC). Additional information concerning EDI standards can be found online at the IAIABC website, https://www.iaiabc.org.

If you have any questions or concerns regarding implementation of EDI FROI/SROI reporting in Alaska, please contact the ADOL EDI Support Team at adoledi@iso.com.

Any Insurer, Self-Insurer, Employer, Claim Administrator, or Vender who wishes to become a certified ADOL EDI Sender (Trading Partner) of the state may register by completing the electronic registration form located in the left navigation bar.

- Completion of the Electronic EDI Registration form provides necessary information to establish trading partners in our tables. Please note that it is important to keep all

Alaska EDI Claims Website Overview

Where can I find the information that I need to assist with the EDI reporting to Alaska?

Help with EDI? ⟶

FAQs? ⟶

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EDI Requirements

EDI Claims Release 3.1

Version 1.2 - Revision Date: 1/19/2021, Effective Date: 5/17/2021

Download the Requirements by clicking on the links below.

The Requirements are housed in three tables:

Event Table
This table relates EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information.

Element Requirements
This table lists the individual data element requirements

Edit Matrix
This table provides information on the edits that will apply to each data element and the edits that will be applied based on the population of the data element.

FROI SROI Sequencing Table
This table provides information on the Jurisdiction's MTC sequencing. It is meant to convey to the Trading Partner the sequencing rules that clarify how the Jurisdiction will apply Edit 063 - Invalid Event Sequence. This information does not supersede or replace the sequencing stipulated in the Edit Matrix.

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EDI Implementation Guide

EDI Claims Release 3.1

Version 1.2 - Revision Date: 1/19/2021, Effective Date: 5/17/2021

Download the Guide by clicking on the link below.

- Alaska (ADOL) Implementation Guide R3.1 Claims.pdf

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Implementation Information

ADOL Master Sender ID

Please click on the following link for information on the Master Sender ID.

- ADOL Master Sender ID

ADOL FROI SROI Processing Schedule for EDI Claims R3.1

- ADOL-ISO wcCapture Processing Schedule for R3.1

ADOL - EDI Claims Release 3.1 Test Plan and Test Plan Feedback Request List

- ADOL Claims Release 3.1 Test Requirements
- ADOL Claims Release 3.1 Testing Feedback Request List

Implementation Schedule for EDI Claims R3.1

Please click on the following link for the ADOL Transition from IAIABC EDI Claims Release 3.0 to Claims Release 3.1 Timeline Summary.

- AK ISO Transition Timelines Release 3.0 to Release 3.1

ADOL 2017 NAICS Codes

Please click on the following link for more information regarding the 2017 NAICS codes values effective January 1, 2017.

- ADOL Acceptance of 2017 NAICS

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Alaska's Trading Partner Registration

R3.1 Trading Partner Profile Registration for Claim Administrators

There is no need to re-register for EDI Claims R3.1 if you are currently registered with ADOL for EDI Claims R3.0 reporting.

R3.1 Trading Partner Profile Registration for Claim Administrators

New or Changes to any Trading Partner profile should be submitted via the EDI Trading Partner Profile Registration Link on Alaska's Home page.

ADOL Release 3.1 Implementation Timeline

Alaska Release 3.1 Implementation Timeline

ADOL will be transitioning from Release 3.0 (R3.0) to Release 3.1 (R3.1) for the electronic reporting of First Reports of Injury (FROI) and Subsequent Reports of Injury (SROI)

Effective May 17, 2021, use of the R3.1 standard will be mandatory for all ADOL trading partners

Alaska Release 3.1
Implementation Timeline

Sunday, May 16, 2021 is the last day that *R3.0* data will be accepted by ADOL.

All R3.0 reports not received by ADOL on **Sunday, May 16, 2021** by 11:59 PM EDT and accepted must be submitted to ISO electronically per the Event Table effective on the mandate date May 17, 2021.

Effective Monday, May 17, 2021, electronic reporting via <u>Release 3.1</u> will begin for all Trading Partners.

Alaska Release 3.1
Implementation Timeline

Trading Partner/EDI Vendor Testing: Available 2/8/2021

Release 3.1 Testing is to be completed by: 5/10/2021

Last Submission of Release 3.0: must be received by Alaska no later than 5/16/2021 by 11:59 PM ET.

Release 3.1 FROI SROI Reporting begins: 5/17/2021

Release 3.1 Acknowledgements are returned no later than: 5/27/2021

Transitioning from R3.0 to R3.1

Transitioning from
R3.0 to R3.1

Report <u>New Claims</u>: Claims that are new and have never been reported in Release 3 to Alaska

What EDI reports should be sent for <u>New Claims</u>?

FROI: For each new claim, review the FROI Event Table to determine which event would cause you to report the claim to Alaska.

SROI: Following the FROI report, review the SROI Event Table to determine what SROI reports can follow the FROI and should be reported to Alaska.

Transitioning from R3.0 to R3.1

Reporting on existing Claims:

Alaska is expecting the next MTC due on a claim as of May 17, 2021 to be reported in the R3.1 format. They do not require or accept a FROI or SROI UR – Update Report as the first R3.1 filing in R3.1

Legacy Claims: For the purposes of requirements and editing, a Legacy claim is any claim previously reported in Release 3.0 for which a Jurisdiction Claim Number (JCN) has been assigned; however, no FROI or SROI UR will be required, unless the claim meets the requirements of a "Grandfathered UR-Upon Request" as noted in the Event Table. These claims will have a JCN < 201320000.

Transitioning from R3.0 to R3.1

Legacy Claims Reporting Summary:

- If you have an open and active claim currently in Release 3.0, continue the reporting with the next required event in Release 3.1.

- Alaska will not be using the new FROI UR (Update Report) to migrate to R3.1.

- Simply continue with the next applicable event for your open and active claims, however in EDI Claims R3.1.

- New claims starting on 5/17/2021 are to be filed using MTC, Data Elements and requirements in accordance with the EDI Claims R3.1 standard and Alaska's requirement tables.

Trading Partner and EDI Vendor Testing

Trading Partner and EDI Vendor Testing

Trading Partner and EDI Vendor Testing begins 2/8/2021.

Testing for Alaska will be handled by Alaska's EDI Claims vendor, Verisk/ISO.

For Trading Partners who are currently, or are planning to file EDI Claims Release 3.1 FROI/SROI reports through an EDI vendor, the vendor will be required to complete the test plan on behalf of their clients.

Once the vendor is approved for production, the Trading Partner will also be approved for production.

Trading Partner and EDI Vendor Testing

For Trading Partners who are planning to file Release 3.1 FROI/SROI reports directly with Verisk/ISO without using an EDI Vendor, will be required to complete the test plan on their own behalf.

Alaska - ISO Jurisdiction Web Entry users are not required to test.

Trading Partner and EDI Vendor Testing

How to being testing?

Begin by downloading the ADOL R3.1 Test Requirements and Testing Feedback Request List found at their

EDI Website.

Trading Partner and EDI Vendor Testing

The 5 Test Stages of Testing

Stage 1: EDI Trading Partner Profile Electronic

Registration

Stage 2: Pretest and Technical Capability Test

Stage 3: Business Content Test (FROI)

Stage 4: Business Content Test (SROI)

Stage 5: Alaska Test Completion (FROI and SROI)

Trading Partner and EDI Vendor Testing

The 5 Test Stages of Testing

Stage 1: <u>EDI Trading Partner Profile Electronic Registration</u>

Ensure you have an existing EDI Trading Partner Profile Electronic Registration. Please note that the information on the registration is used for both testing and production reporting.

Stage 2: <u>Pretest and Technical Capability Test</u>

Complete the technical capability test to ensure that the file structure is valid per the IAIABC EDI Claims Release 3.1 standards. This portion ensures that the Header Record is populated with the correct Sender ID, the Receiver ID and other data validations. The file structure/format for the test files must be correct.

Trading Partner and EDI Vendor Testing

Stage 3: Business Content Test (FROI)

The business content test for FROI requires at a minimum the FROI MTCs, **(00 01 02)** to be tested in accordance with Alaska's R3.1 Test Plan.

Please note that part of the FROI test will include a requirement to submit two (2) non initiating FROI's for an existing legacy claim filed with AK prior to 2-8-2021 (MTC 01 Cancel and 02 Change). Any production data filed after 2-7-2021 will not be available in the test system for testing.

This will test your ability to file the next FROI Event on a R3 claim (Legacy) in the R3.1 format. (e.g. FROI 02 to Update Match Data to change spelling of a name and a FROI 01 Cancel).

When considering the FROI reports that will be tested, it is recommended that a review of Stage 4 SROI testing be performed at the same time to determine if additional FROI test reports are needed to establish claims in order to successfully complete the SROI testing.

Trading Partner and EDI Vendor Testing

Stage 3: Business Content Test (FROI) con't

Upon completion of Test FROI Batches 1 and 2, the Trading Partner and/or their EDI Vendor should request testing approval by completing and submitting the **Alaska EDI Testing Feedback Request List R3.1.**

The Feedback Request List will list all the completed FROI test claims required by Alaska's Test Plan, and should be sent to Alaska's EDI email.

Alaska will then notify the Trading Partner by email if the Trading Partner has passed the FROI testing and is approved to proceed with SROI testing.

Trading Partner and EDI Vendor Testing

Stage 4: Business Content Test (SROI)

The business content test for SROI requires at a minimum the SROI MTCs **(02 CA CB CD EP ER IP FN NT PY RB SX)** to be tested in accordance with the Alaska R3.1 Test Plan.

Please note that part of the SROI test will include a requirement to submit ten (10) SROI's for any MTC filed with AK prior to 2-8-2021. Any production data filed after 2-7-2021 will not be available in the test system for testing.

This will test your ability to file the next Event on a R3 (Legacy) claim in the R3.1 format.

Because the SROI testing builds on the accepted FROIs, ensure that there is an adequate number of FROIs reported in Stage 3 to meet the SROI testing needs in Stage 4. For SROI reporting, please pay special attention to sequencing.

FROI and SROI testing should be completed by May 10, 2021.

Trading Partner and EDI Vendor Testing
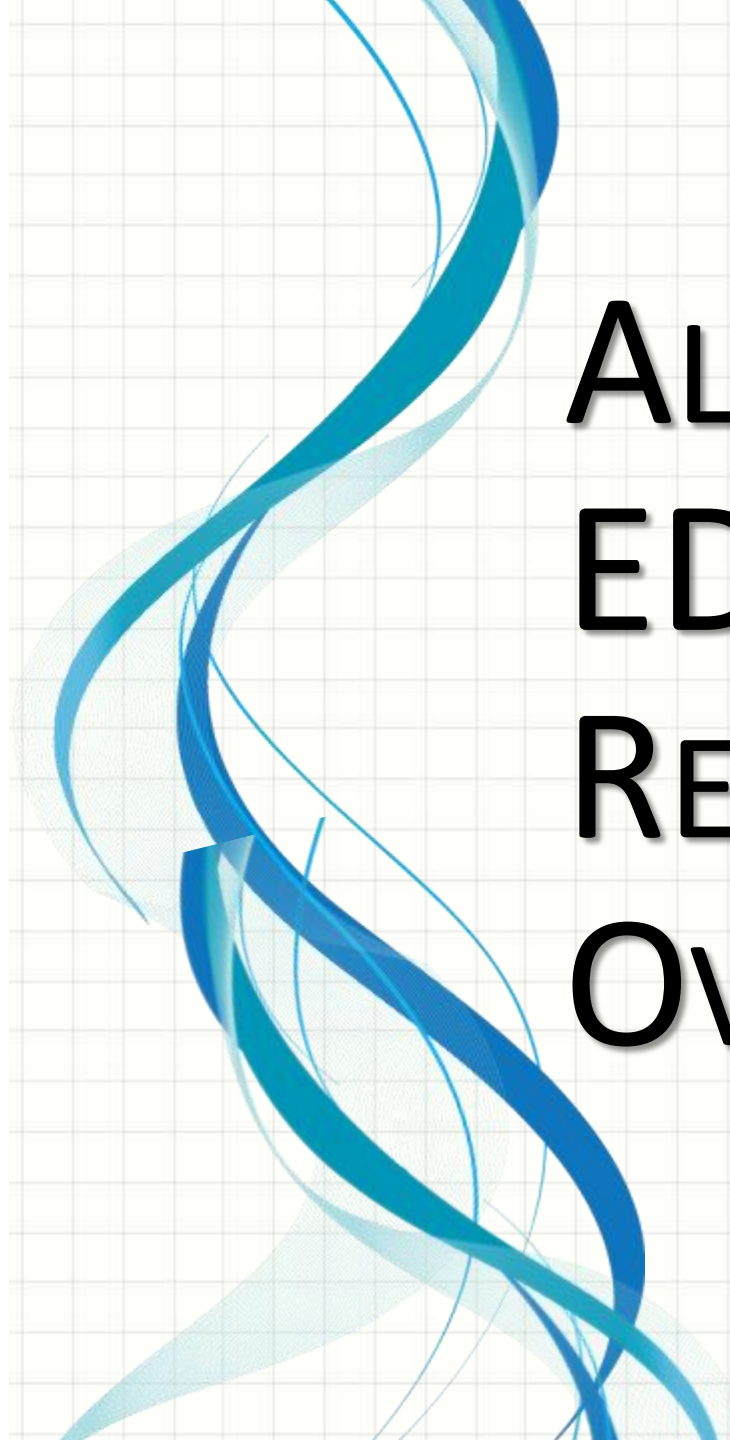
Stage 5: Test Completion (FROI and SROI)
Upon completion of the SROI minimum test transactions, the Trading Partner and/or their EDI Vendor must request testing review and approval from Alaska/ISO by submitting the **Alaska EDI Testing Feedback Request List R3.1** to Alaska 's EDI email.

Stage 5 is requesting and being approved for SROI's which will mean you are approved for Production, for FROI and SROI reporting, effective May 17, 2021.

Test/Production Indicator:

The Test/Production Indicator on the Header Record will need to change from T (Test) to P (Production) to indicate that the records contained in the file are production data.

Trading Partner Profiles must be kept up-to-date.

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ALASKA'S EDI R3.1 REQUIREMENTS OVERVIEW

EDI Claim Requirements Overview

Trading Partners should have an understanding of the changes in the R3.1 format and Alaska's requirements:

- What EDI reports should be filed and when?
- What data is needed on the EDI reports?
- What edits will be applied to the EDI data?
- What sequencing will be applied to the EDI MTC's

EDI Claim Requirements Overview

As we noted previously, all of Alaska's Requirement Tables can be found under the EDI Requirements link on Alaska's EDI Home page.

Alaska Department of Labor and Workforce Development

https://adoledi.info/requirements

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EDI Requirements

EDI Claims Release 3.1

Version 1.2 - Revision Date: 1/19/2021, Effective Date: 5/17/2021

Download the Requirements by clicking on the links below.

The Requirements are housed in three tables:

Event Table
This table relates EDI information to the circumstances under which they are initiated as well as the timeframes for sending the information.

Element Requirements
This table lists the individual data element requirements

Edit Matrix
This table provides information on the edits that will apply to each data element and the edits that will be applied based on the population of the data element.

FROI SROI Sequencing Table
This table provides information on the Jurisdiction's MTC sequencing. It is meant to convey to the Trading Partner the sequencing rules that clarify how the Jurisdiction will apply Edit 063 - Invalid Event Sequence. This information does not supersede or replace the sequencing stipulated in the Edit Matrix.

Alaska's Event Table

Alaska Event Table:

- Comprised of:

  - Alaska Event Table Change Log
  - FROI Event Table
  - SROI Event Table
  - SROI Periodic Event Table

- Defines the circumstances under which the claim information must be sent

- Sets the timeframes for sending the information

- Based on legislative mandates

Alaska Event Table:

- The Event Table tells you the MTC and its Description; and it is required from the R3.1 mandate date of May 17 2021.

- It also identifies the Alaska FROI Report Trigger Criteria which indicates the circumstances under which a FROI report should be sent.

- It also indicates when the MTC should be triggered and when the report is due (which means when it must receive a TA – Transaction Accepted).

- If it is required by AK Statute or Rules, they will be listed in the next columns, along with what paper forms may be due to be sent, and the parties that should receive a paper form copy.

Release	Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?			Statute	Paper Form(s)	Receiver
		Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From			
3.1	FROI	00	Original	2 = EDI Mandate Date	5-17-2021		A , M = New Claim: All new claims including notification only, medical only and time loss.	FROI must be accepted no later than 10 calendar days after Date Employer Had Knowledge of Injury. A FROI 04 is no longer required/accepted by AK. If a claim is being denied in its entirety, it should be reported as a FROI 00, and the denial information should be sent via Form 6105.	10 days	C	C = After Date Employer Had Knowledge of Injury	AS 23.30.070	If Denial: 6105	All parties to a claim, in addition to ADOL

Alaska Event Table Changes:

What changes will you see in the Event Table with Release 3.1?

- Alaska is no longer accepting/requiring FROI CO or 04 and SROI CO, 04 or PD

Per the FROI 00 entry in the Event Table noted below, if a claim is being denied in its entirety, **it should be reported as a FROI 00**, and the denial information should be sent via Form 6105.

Release	Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?			Statute	Paper Form(s)	Receiver
		Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From			
3.1	FROI	00	Original	2 = EDI Mandate Date	5-17-2021		A , M = New Claim: All new claims including notification only, medical only and time loss.	FROI must be accepted no later than 10 calendar days after Date Employer Had Knowledge of Injury. A FROI 04 is no longer required/accepted by AK. If a claim is being denied in its entirety, it should be reported as a FROI 00, and the denial information should be sent via Form 6105.	10 days	C	C = After Date Employer Had Knowledge of Injury	AS 23.30.070	If Denial: 6105	All parties to a claim, in addition to ADOL

Alaska Event Table Changes:

What changes will you see in the Event Table with Release 3.1?

Per the FROI SX entry in the Event Table noted below,

If ongoing benefits are being terminated due to a full or partial denial, a SROI SX should be sent with Suspension Reason Code-Full S1 - SJ. The denial information should be sent via Form 6105.

Release	Report Type	Maintenance Type		Suspension	Event Rule			Report Trigger		When is the Report Due?			Statute	Paper Form(s)	Receiver
3.1	SROI	SX	SX - Full Suspension	All	2 = EDI Mandate Date	5-17-2021	M = MTC Defined	Full Suspension – All payment(s) of indemnity benefits have stopped. If ongoing benefits are being terminated due to a full or partial denial, a SROI SX should be sent with Suspension Reason Code-Full - SJ. The denial information should be sent via Form 6105.	28 Days	C	J - From when Last Payment of Compensation was issued	AS 23.30.155	If a Denial Form 6105.	All parties to a claim, in addition to ADOL

Alaska Event Table Changes:

What changes will you see in the Event Table with Release 3.1?

- The only FROI UR that will be accepted is if the JCN is < 201320000. No FROI UR is needed to begin R3.1 reporting.

- Changes to SROI MTC's include CA's for the reporting of Reduced Earning for Temporary Partial (Benefit Type Code 070). Note that Alaska doesn't require the a CA when the Gross Weekly Amount changes because of application of the employee's current weekly wages while receiving Temporary Partial benefits (Benefit Type Code 070); however, if sent, it will not be rejected.

- Please see ADOL R3.1 Implementation Guide for when the Reduced Earnings segment should be reported. ADOL will only require a Claim Administrator to report that Reduced Earnings have been initiated (BTC 070 Temporary Partial Benefits) via MTC AP/IP/CB/RB, and when Temporary Partial Benefits have been stopped CB/SX.

Alaska Event Table Changes:

- Alaska will accept the new MTC NT for Narrative.

- Suspensions are reported in R3.1 as MTC SX. The former S1-SJ Suspension MTC's are now sent in DN0418 Suspension Reason Code – Full field in R3.1

- Changes triggered via MTC 02 must follow the Trigger Value rules of the Event Table and should only be triggered in accordance with the Reportable Change Codes on the Element Requirement Table.

  > Alaska wants a FROI or SROI 02 is to be sent for any changes to the claim for 5 years from last accepted FN.

- If the SROI MTC is not present on the Event Table, then Alaska is not accepting that MTC in R3.1.

Alaska's ELEMENT REQUIREMENT

Element Requirement Table
What data is needed on the EDI reports?

The Element Requirement Table lists every data element on the FROI and SROI, and Alaska has indicated if that data element is required on a specific MTC report.

If a data element is conditionally required (MC), the FROI and SROI Conditions tab further clarifies under what conditions that data element is required.

Element Requirement Table

The Element Requirement Table contains 10 worksheets:

- Alaska Change Log
- FROI Element Requirements
- FROI 02 Exceptions
- FROI Conditions
- Legacy Claim Definition
- SROI Element Requirements
- SROI 02 Exceptions
- SROI Conditions
- Event Benefit Segment Requirements
- Event Benefit Conditions

Element Requirement Table

Prior to the 10 worksheets is an Instructions Tab. This Tab provides a legend that defines the color and codes in each requirement cell and the Application Acknowledgement Code results.

Legend for Requirement Code (Description) = resulting Application Acknowledgment Code	
Requirement Code	**Result of Failed Element Requirement Edit**
F (Fatal)	TR (Transaction Rejected)
M (Mandatory)	TR (Transaction Rejected)
MC (Mandatory/Conditional)	TR (Transaction Rejected)
E (Expected)	TE (Transaction Accepted with Errors)
EC (Expected/Conditional)	TE (Transaction Accepted with Errors)
AA (If Applicable/Available Transaction Accepted)	TA (Transaction Accepted)
AE (If Applicable/Available Transaction Accepted with Errors)	TE (Transaction Accepted with Errors)
AR (If Applicable/Available Transaction Rejected)	TR (Transaction Rejected)
NA (Not Applicable)	TA (No error messages may be applied)
X (Exclude)	TA (No error messages may be applied)
For Benefit Segment Data Element Requirement Table	
R (Restricted)	TR (Transaction Rejected)
RC (Restricted/Conditional)	TR (Transaction Rejected)

It also defines the colors and Reportable Change Codes in the 02 columns, which is new for R3.1 This will be discussed further below in the presentation.

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

Below is the FROI Variable Segment excerpt from the FROI Element Requirements tab:

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	Match Data	00	01	02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)	AQ	AU	UR - Upon Request (grandfathered) Grandfathered UR accepted. ADOL will not do UR - Update Report for R3.1.
								Reportable Change							
			Variable Segments												
			Accident/Injury Description Narratives												
FROI	R21	0038	Accident/Injury Description Narrative	50 A/N		M	NA	MC	Y	Y	N	B	NA	M	AR
			Full Denial Reason Codes												
Both	R21	0198	Full Denial Reason Code	2 A/N		X	NA	NA	N	N	N	B	X	X	NA
			Full Denial Reason Narratives												
Both	R21	0197	Denial Reason Narrative	50 A/N		X	NA	NA	N	N	N	B	X	X	NA
			Managed Care Organizations												
FROI	R21	0207	Managed Care Organization Code	2 A/N		NA	NA	MC	Y	Y	Y	B	NA	NA	NA
FROI	R21	0209	Managed Care Organization Name	40 A/N		NA	NA	MC	Y	Y	Y	B	NA	NA	NA
FROI	R21	0208	Managed Care Organization Identification Number	9 A/N		NA	NA	MC	Y	Y	Y	B	NA	NA	NA
			Witnesses												
FROI	R21	0238	Witness Name	40 A/N		NA	NA	NA	N	N	N	B	NA	NA	NA
FROI	R21	0237	Witness Business Phone Number	15 A/N		NA	NA	NA	N	N	N	B	NA	NA	NA
			Part of Body Injured												
FROI	R21	0036	Part of Body Injured Code	2 A/N		M	NA	MC	Y	Y	Y	B	AR	M	AR
FROI	R21	0421	Part of Body Injured Location Code	1 A/N		MC	NA	MC	Y	Y	Y	B	AR	MC	AR
FROI	R21	0422	Part of Body Injured Fingers/Toes Location Code	1 A/N		MC	NA	MC	Y	Y	Y	B	AR	MC	AR
			Change Data Elements												
Both	R21	0412	Change Data Element/Segment Number	4 A/N		X	X	M	B	B	B	B	X	X	X
Both	R21	0413	Change Reason Code	1 A/N		X	X	M	B	B	B	B	X	X	X
			Cancel Elements												
FROI	R21	0400	Cancel Reason Code	1 A/N		X	M	X	B	B	B	B	X	X	X
Both (diff)	R21	0401	Jurisdiction Claim Number - Related	25 A/N		X	MC	X	B	B	B	B	X	X	X
FROI	R21	0402	Cancel Reason Narrative	150 A/N		X	M	X	B	B	B	B	X	X	X

As we noted earlier, Alaska will **not** be taking the FROI CO and will also not be taking the FROI 04 Denial.

You will not find a column for CO or 04 and you can see that the requirement codes for corresponding Denial data elements is NA.

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Element Requirement Table
Changes for R3.1 that Alaska wants to draw to your attention.

Part of Body Injured Code is now in a variable segment

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	Match Data	00	01	02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)	AQ	AU	UR - Upon Request (grandfathered)
								Reportable Change							Grandfathered UR accepted. ADOL will not do UR - Update Report for R3.1.
			Variable Segments												
			Accident/Injury Description Narratives												
FROI	R21	0038	Accident/Injury Description Narrative	50 A/N		M	NA	MC	Y	Y	N	B	NA	M	AR
			Full Denial Reason Codes												
Both	R21	0198	Full Denial Reason Code	2 A/N		X	NA	NA	N	N	N	B	X	X	NA
			Full Denial Reason Narratives												
Both	R21	0197	Denial Reason Narrative	50 A/N		X	NA	NA	N	N	N	B	X	X	NA
			Managed Care Organizations												
FROI	R21	0207	Managed Care Organization Code	2 A/N		NA	NA	MC	Y	Y	Y	B	NA	NA	NA
FROI	R21	0209	Managed Care Organization Name	40 A/N		NA	NA	MC	Y	Y	Y	B	NA	NA	NA
FROI	R21	0208	Managed Care Organization Identification Number	9 A/N		NA	NA	MC	Y	Y	Y	B	NA	NA	NA
			Witnesses												
FROI	R21	0238	Witness Name	40 A/N		NA	NA	NA	N	N	N	B	NA	NA	NA
FROI	R21	0237	Witness Business Phone Number	15 A/N		NA	NA	NA	N	N	N	B	NA	NA	NA
			Part of Body Injured												
FROI	R21	0036	Part of Body Injured Code	2 A/N		M	NA	MC	Y	Y	Y	B	AR	M	AR
FROI	R21	0421	Part of Body Injured Location Code	1 A/N		MC	NA	MC	Y	Y	Y	B	AR	MC	AR
FROI	R21	0422	Part of Body Injured Fingers/Toes Location Code	1 A/N		MC	NA	MC	Y	Y	Y	B	AR	MC	AR
			Change Data Elements												
Both	R21	0412	Change Data Element/Segment Number	4 A/N		X	X	M	B	B	B	B	X	X	X
Both	R21	0413	Change Reason Code	1 A/N		X	X	M	B	B	B	B	X	X	X
			Cancel Elements												
FROI	R21	0400	Cancel Reason Code	1 A/N		X	M	X	B	B	B	B	X	X	X
Both (diff)	R21	0401	Jurisdiction Claim Number - Related	25 A/N		X	MC	X	B	B	B	B	X	X	X
FROI	R21	0402	Cancel Reason Narrative	150 A/N		X	M	X	B	B	B	B	X	X	X

Alaska will be using the new Part of Body Injured variable segment and the new data elements, **Part of Body Injured Location Code** and **Part of Body Injured Fingers/Toes Location Code**, as defined under the FROI Conditions tab.

Element Requirement Table
Changes for R3.1 that Alaska wants to draw to your attention.

Cancel Elements is a new variable segment

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	Match Data	00	01	02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)	AQ	AU	UR - Upon Request (grandfathered) — Grandfathered UR accepted. ADOL will not do UR- Update Report for R3.1.
								Reportable Change							
			Variable Segments												
			Accident/Injury Description Narratives												
FROI	R21	0038	Accident/Injury Description Narrative	50 A/N		M	NA	MC	Y	Y	N	B	NA	M	AR
			Full Denial Reason Codes												
Both	R21	0198	Full Denial Reason Code	2 A/N		X	NA	NA	N	N	N	B	X	X	NA
			Full Denial Reason Narratives												
Both	R21	0197	Denial Reason Narrative	50 A/N		X	NA	NA	N	N	N	B	X	X	NA
			Managed Care Organizations												
FROI	R21	0207	Managed Care Organization Code	2 A/N		NA	NA	MC	Y	Y	Y	B	NA	NA	NA
FROI	R21	0209	Managed Care Organization Name	40 A/N		NA	NA	MC	Y	Y	Y	B	NA	NA	NA
FROI	R21	0208	Managed Care Organization Identification Number	9 A/N		NA	NA	MC	Y	Y	Y	B	NA	NA	NA
			Witnesses												
FROI	R21	0238	Witness Name	40 A/N		NA	NA	NA	N	N	N	B	NA	NA	NA
FROI	R21	0237	Witness Business Phone Number	15 A/N		NA	NA	NA	N	N	N	B	NA	NA	NA
			Part of Body Injured												
FROI	R21	0036	Part of Body Injured Code	2 A/N		M	NA	MC	Y	Y	Y	B	AR	M	AR
FROI	R21	0421	Part of Body Injured Location Code	1 A/N		MC	NA	MC	Y	Y	Y	B	AR	MC	AR
FROI	R21	0422	Part of Body Injured Fingers/Toes Location Code	1 A/N		MC	NA	MC	Y	Y	Y	B	AR	MC	AR
			Change Data Elements												
Both	R21	0412	Change Data Element/Segment Number	4 A/N		X	X	M	B	B	B	B	X	X	X
Both	R21	0413	Change Reason Code	1 A/N		X	X	M	B	B	B	B	X	X	X
			Cancel Elements												
FROI	R21	0400	Cancel Reason Code	1 A/N		X	M	X	B	B	B	B	X	X	X
Both (diff)	R21	0401	Jurisdiction Claim Number - Related	25 A/N		X	MC	X	B	B	B	B	X	X	X
FROI	R21	0402	Cancel Reason Narrative	150 A/N		X	M	X	B	B	B	B	X	X	X

Alaska will also using the new Cancel Elements variable segment for MTC 01 – Cancel Entire Claim, and the new data elements, **Cancel Reason Code**; **Jurisdiction Claim Number – Related** (as defined under the FROI Conditions tab); **and Cancel Reason Narrative**.

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Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

Return to Work Changes

In Release 3 you have the following:
DN0068 – Initial Return to Work Date
DN0078 - Latest Return to Work Status Date

And to go with those dates you have 1 set of what we refer to as the Return To Work Qualifiers (below). You send the qualifiers to match the most recent Return to Work Date reported (either DN0068 or DN0072)

DN0189 - Return to Work Type Code
DN0224 - Physical Restrictions Indicator
DN0228 - Return to Work with Same Employer Indicator

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.
Return to Work Changes

In Release 3.1 you still have:

DN0068 – Initial Return to Work Date

DN0078 - Latest Return to Work Status Date

However, you now have new DN's for the RTW Qualifiers and there is a set that goes with Initial RTW and one with Latest RTW.

You will need to determine how to convert these properly when transitioning to R3.1.

DN0403 - Initial RTW Type Code

DN0404 - Initial RTW Physical Restrictions Indicator

DN0405 - Initial RTW With Same Employer Indicator

DN0406 - Latest RTW Type Code

DN0407 - Latest RTW Physical Restrictions Indicator

DN0408 - Latest RTW With Same Employer Indicator

Please remember the Initial and the Latest RTW Date should never equal each other.

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

Return to Work Changes

As you can see from Alaska's Element Requirement Table excerpt below, the Initial and Latest RTW fields are conditionally required (MC) on most MTC's.

Please review the SROI Conditions tab to determine under what conditions they are required to be present.

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)	AP	CA	CB	CD	EP	ER	FN	IP	NT	PY	RB	SX	Grandfathered UR Update Report	AN
							Reportable Change																
Both	A49	0072	Latest RTW/Status DateLatest RTW/Status Date	DATE	MC	J	J	B	J	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA
Both	R22	0068	Initial RTW Date	DATE	MC	J	J	B	J	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA
Both	R22	0403	Initial RTW Type Code	1 A/N	MC	J	J	B	J	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA
Both	R22	0404	Initial RTW Physical Restrictions Indicator	1 A/N	MC	J	J	B	J	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA
Both	R22	0405	Initial RTW With Same Employer Indicator	1 A/N	MC	J	J	B	J	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA
Both	R22	0406	Latest RTW Type Code	1 A/N	MC	J	J	B	J	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA
Both	R22	0407	Latest RTW Physical Restrictions Indicator	1 A/N	MC	J	J	B	J	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA
Both	R22	0408	Latest RTW With Same Employer Indicator	1 A/N	MC	J	J	B	J	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.
Knowledge of Date of Disability Changes

In Release 3 you have the following:

DN0291 – Date Employer Had Knowledge of Date of Disability

This DN has been split in to two DN's in R3.1

DN0291 - Initial Date Employer Had Knowledge of Date of Disability
DN0416 - Current Date Employer Had Knowledge of Current Date of Disability

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	02					AP	CA	CB	CD	EP	ER	FN	IP	NT	PY	RB	SX	Grandfathered UR Update Report	AN
					02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)														
						Reportable Change																	
Both	R22	0281	Initial Date Employer Had Knowledge of Date of Disability	DATE	NA	K	K	B	N	AR	AR	AR	AR	AR	AR	NA	AR	X	AR	AR	AR	AR	NA
Both	R22	0416	Current Date Employer Had Knowledge of Current Date of Disability	DATE	MC	N	J	B	N	AR	AR	AR	X	AR	M	AR	AR	X	AR	M	AR	AR	AR

Element Requirement Table
Changes for R3.1 that Alaska wants to draw to your attention.
Suspension Changes

In Release 3 you have multiple Suspension MTC Codes S1-SJ (the same is true with Partial Suspension MTC's however, Alaska doesn't require Partial Suspensions.

In R3.1, the MTC's were combined into one MTC for each:
SX for Full Suspension; PX for Partial Suspension
And the former R3 MTC Codes were moved to a new DN:
DN0418 - Suspension Reason Code - Full
DN0419 - Suspension Reason Code - Partial

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	02					AP	CA	CB	CD	EP	ER	FN	IP	NT	PY	RB	SX	Grandfathered UR Update Report	AN
					Reportable Change																		
					02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)														
SROI	R22	0193	Suspension Effective Date	DATE	MC	B	Y	B	N	X	X	X	X	X	X	T	X	X	X	X	M	X	X
SROI	R22	0418	Suspension Reason Code – Full	2 A/N	MC	B	Y	B	B	X	X	X	X	X	X	X	X	X	X	X	M	X	X
SROI	R22	0419	Suspension Reason Code - Partial	2 A/N	NA	B	N	B	B	X	X	X	X	X	X	X	X	X	X	X	X	X	X
SROI	R22	0287	Number of Suspension Narratives	2 N	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F	F
Suspension Narratives																							
SROI	R22	0233	Suspension Narrative	50 A/N	MC	B	Y	N	B	X	X	X	X	X	X	X	X	X	X	X	M	X	X

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

SROI Variable Segment Changes
Reduced Earnings Segment

In Release 3.1 several DN's were added to the Reduced Earnings segment to help clarify the specific week of wages that are being reported.
The following DN's were added to the Reduced Earnings segment:

DN0414 - Reduced Earnings Week Start Date
DN0415 - Reduced Earnings Week End Date
DN0435 - Reduced Earnings Net Weekly Amount Due by Claim Administrator

Please refer to the R3.1 Implementation Guide, Data Dictionary for the definitions and data population rules for these new DN's

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

SROI Variable Segment Changes

Reduced Earnings Segment

Per the SROI Event Table under MTC CA:

Alaska does not require a CA when the Gross Weekly Amount changes because of application of the employee's current weekly wages while receiving Temporary Partial benefits (Benefit Type Code 070); however, if sent, it will not be rejected.

Please see ADOL R3.1 Implementation Guide for when the Reduced Earnings segment should be reported.

Alaska will only require a Claim Administrator to report that Reduced Earnings have been initiated (BTC 070 Temporary Partial Benefits) via MTC AP/IP/CB/RB, and when Temporary Partial Benefits have been stopped CB/SX.

Element Requirement Table
Changes for R3.1 that Alaska wants to draw to your attention.
SROI Variable Segment Changes
Reduced Earnings Segment

Please review the SROI Conditions Tab to determine the Conditions under which these DN's are required.

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	02					AP	CA	CB	CD	EP	ER	FN	IP	NT	PY	RB	SX	Grandfathered UR Update Report	AN
									Reportable Change														
					02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)														
		Reduced Earnings																					
SROI	R22	0242	Reduced Earnings Week Number	2 N	X	B	B	B	B	NA	NA	NA	X	X	X	X	NA	X	X	NA	NA	NA	NA
SROI	R22	0124	Actual Reduced Earnings	$9.2	X	B	B	B	B	MC	MC	MC	X	X	X	X	MC	X	X	MC	MC	AR	NA
SROI	R22	0147	Deemed Reduced Earnings	$9.2	X	B	B	B	B	NA	NA	NA	X	X	X	X	NA	X	X	NA	NA	NA	NA
SROI	R22	0414	Reduced Earnings Week Start Date	DATE	X	B	B	B	B	MC	MC	MC	X	X	X	X	MC	X	X	MC	MC	AR	NA
SROI	R22	0415	Reduced Earnings Week End Date	DATE	X	B	B	B	B	MC	MC	MC	X	X	X	X	MC	X	X	MC	MC	AR	NA
SROI	R22	0435	Reduced Earnings Net Weekly Amount Due by Claim Administrator	$9.2	X	B	B	B	B	MC	MC	MC	X	X	X	X	MC	X	X	MC	MC	AR	NA

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

SROI Variable Segment Changes

Permanent Impairment Segment

In Release 3:

DN0083 - Permanent Impairment Body Part Code &

DN0084 - Permanent Impairment Percentage

were in a variable segment by themselves in the upper A49 record of the SROI.

In Release 3.1:

DN0083 - Permanent Impairment Body Part Code &

DN0084 - Permanent Impairment Percentage

were moved to a variable segment in the bottom R22 record of the SROI AND

DN0432 - Permanent Impairment Body Part Location Code was added

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

SROI Variable Segment Changes

Permanent Impairment Segment

Please refer to the R3.1 Implementation Guide, Data Dictionary for the definitions and data population rules for these DN's, and review the SROI Conditions Tab to determine the Conditions under which these DN's are required.

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	02					AP	CA	CB	CD	EP	ER	FN	IP	NT	PY	RB	SX	Grandfathered UR Update Report	AN
					02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)														
								Reportable Change															
			Permanent Impairments																				
SROI	R22	0083	Permanent Impairment Body Part Code	3 A/N	MC	N	Y	Y	B	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA
SROI	R22	0084	Permanent Impairment Percentage	3.2 N	MC	N	Y	Y	B	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA
SROI	R22	0432	Permanent Impairment Body Part Location Code	1 A/N	MC	N	Y	Y	B	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	MC	MC	NA

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Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

SROI Variable Segment Changes

Dependent/Payee Relationships Segment

In Release 3:

DN0097 - Dependent/Payee Relationship Code

was in a variable segment by itself in the upper A49 record of the SROI.

In Release 3.1:

DN0097 - Dependent/Payee Relationship Code

was moved to a variable segment in the bottom R22 record of the SROI AND

DN0425 - Dependent First Name

DN0426 - Dependent Last Name

DN0427 - Dependent Date of Birth

DN0428 - Dependent Gender Code

DN0429 - Dependent Extent of Dependency was added

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

SROI Variable Segment Changes

Dependent/Payee Relationships Segment

Please refer to the R3.1 Implementation Guide, Data Dictionary for the definitions and data population rules for these DN's.

One new change is that this segment can also be required on a Permanent Total Disability or a Catastrophic claim (Benefit Type Code 020, 220, 520 and 021, 221, 521). It is not exclusive to just Death claims.
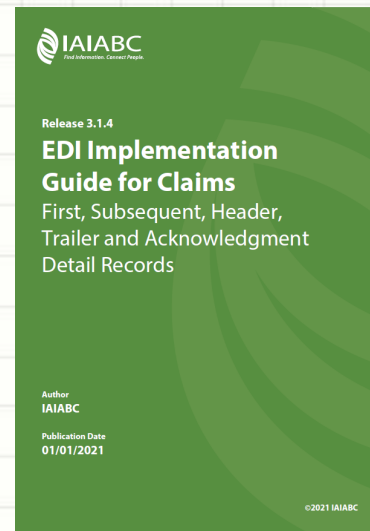
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Release 3.1.4
EDI Implementation Guide for Claims
First, Subsequent, Header, Trailer and Acknowledgment Detail Records

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Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

SROI Variable Segment Changes

Dependent/Payee Relationships Segment

The SROI ERT Excerpt below shows that Alaska does not require the new DN's:

DN0428 - Dependent Gender Code

DN0429 - Dependent Extent of Dependency

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	02					AP	CA	CB	CD	EP	ER	FN	IP	NT	PY	RB	SX	Grandfathered UR Update Report	AN
					Reportable Change																		
					02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)														
Dependent/Payee Relationships																							
SROI	R22	0097	Dependent/Payee Relationship Code	2 A/N	MC	N	Y	Y	B	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	X	NA	NA
SROI	R22	0425	Dependent First Name	15 A/N	MC	N	Y	Y	B	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	X	MC	NA
SROI	R22	0426	Dependent Last Name	40 A/N	MC	N	Y	Y	B	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	X	MC	NA
SROI	R22	0427	Dependent Date of Birth	DATE	MC	N	Y	Y	B	MC	MC	MC	X	MC	MC	NA	MC	X	MC	MC	X	MC	NA
SROI	R22	0428	Dependent Gender Code	1 A/N	NA	N	N	N	B	NA	NA	NA	X	NA	NA	NA	NA	X	NA	NA	X	NA	NA
SROI	R22	0429	Dependent Extent of Dependency	1 A/N	NA	N	N	N	B	NA	NA	NA	X	NA	NA	NA	NA	X	NA	NA	X	NA	NA

Please review the SROI Conditions Tab to determine the Conditions under which the other DN's are required.

Element Requirement Table
Changes for R3.1 that Alaska wants to draw to your attention.
SROI Variable Segment Changes
Payment Segment

In Release 3:

Alaska did not require a Payment segment on MTC PY for the reporting of Lump Sum Payments or Settlements or on MTC IP, AP or RB

In Release 3.1:

Alaska will require a Payment segment on MTC PY for the reporting of Lump Sum Payments or Settlements and on MTC IP, AP and RB.

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

SROI Variable Segment Changes
Narrative Segment

R3.1 introduced a new variable segment on the SROI. It is the Narrative For Claim segment. It is only permitted on the new MTC NT and it is a free form text narrative field used by a claim administrator to provide any further explanation needed on a claim. Alaska will accept the MTC NT.

Please refer to the R3.1 Implementation Guide, Data Dictionary for the definition of MTC NT and the new DN0431 Narrative For Claim.

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)	AP	CA	CB	CD	EP	ER	FN	IP	NT	PY	RB	SX	Grandfathered UR Update Report	AN
							Reportable Change																
SROI	R22	0431	Narrative For Claim	50 A/N	X	B	B	B	B	X	X	X	X	X	X	X	X	M	X	X	X	X	X

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

Benefit Type Code Changes
Event Benefits Segment Requirements Tab

On the Event Benefits Segment Requirements Tab of the ERT, Alaska identifies all Event Benefit Type Codes they will and will not accept. (Note that Benefit Type Codes for 5xx are not on this table because they are not "Event" Benefit Type Codes).

If the Event Benefit Type Code is accepted, the DN0085 Benefit Type Code column will reflect an MC. If it is not accepted, it will reflect a R – Restricted.

Element Requirement Table
Changes for R3.1 that Alaska wants to draw to your attention.
Benefit Type Code Changes
Event Benefits Segment Requirements Tab

Event: For MTC's: AP, CA, CB, EP, ER, IP, PY (Benefit Type Codes other than 5XX), RB, SX and 02 (for Benefit segment(s) being Added/Updated) Legend: E = Expected EC = Expected/Conditional F = Fatal Technical M = Mandatory MC = Mandatory/Conditional NA = Not applicable R = Restricted RC = Restricted/Conditional X = Exclude	Benefit Type	0085 Benefit Type Code	0002 MTC	0174 Gross Weekly Amount	0175 Gross Wkly Amt Eff Date	0087 Net Weekly Amount	0211 Net Wkly Amt Eff Date	0088 Ben Period Start Date	0089 Ben Period Thru Date	0090 Ben Type Claim Weeks	0091 Ben Type Claim Days	0086 Ben Type Amount Paid	0192 Benefit Payment Issue Date
Migration Considerations		NI	NI	V1	V1	NI	V1	NI	NI	NI	NI	NI	NI
Fatal	010	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC
Permanent Total	020	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC
Permanent Total Supplemental	021	R	F	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Permanent Partial Scheduled*	030	R	F	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Permanent Partial Unscheduled	040	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC
Temporary Total	050	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC
Catastrophic Benefits	051	R	F	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Temporary Partial	070	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC
Employer's Liability	080	R	F	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Permanent Partial Disfigurement	090	R	F	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Employer Paid Fatal Benefits	210	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	X
Employer Paid Permanent Total Benefits	220	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	X
Employer Paid Permanent Total Supplemental Benefits	221	R	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	X
Employer Paid Permanent Partial Scheduled*	230*	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	X
Employer Paid Unspecified	240	R	F	X	X	X	X	NA	NA	X	X	X	X
Employer Paid Vocational Rehab Maintenance	242	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	X
Employer Paid Temporary Total	250	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	X
Employer Paid Catastrophic Benefits	251	R	F	NA	NA	NA	NA	NA	NA	NA	NA	NA	X
Employer Paid Temporary Partial	270	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	X
Vocational Rehabilitation Maintenance	410	MC	F	MC	MC	MC	MC	MC	MC	MC	MC	MC	MC

*Note: prior paid 030 benefits should be remapped to 040 for reporting in R3.1
*Note: prior paid 230 benefits can continue to be reported, but 230 can not be reported for DOI's >= 5-17-2021
* Note: Although not on this table, prior paid 530 benefits should be remapped to 540 for reporting in R3.1

Element Requirement Table
Changes for R3.1 that Alaska wants to draw to your attention.

Benefit Type Code Changes

Event Benefits Segment Requirements Tab

Alaska would especially like to point out that at the time of conversion to Release 3.1, they are converting from accepting Permanent Partial Scheduled Benefit Types (030, 230* and 530), to now accepting Permanent Partial payments under the Permanent Partial Unscheduled codes (040, and 540).

There is no Employer Paid equivalent for 040, as the 240 Benefit Type Code is for all Employer Paid Unspecified benefits.

*Alaska will allow the continued reporting of Benefit Type Code 230 (Permanent Partial Scheduled) for Dates of Injury prior to 5-17-2021 only.

030 to 040

Element Requirement Table
Changes for R3.1 that Alaska wants to draw to your attention.
Benefit Type Code Changes
Event Benefits Segment Requirements Tab

This conversion from Permanent Partial Scheduled to Permanent Partial Unscheduled is happening because NCCI requires that Permanent Partial benefits be reported to them as Unscheduled (040) and claim administrators had to remap the Permanent Partial benefits sent via EDI to Alaska for purposes of reporting to NCCI, and requested the change. Now the codes will be consistent.
For all open legacy claims that will continue to be reported in the R3.1 format, please ensure you have remapped the Permanent Partial 030 and 530 Benefits. Alaska will allow the continued reporting of Benefit Type Code 230 (Permanent Partial Scheduled) for Dates of Injury prior to 5-17-2021 only.

As noted at the bottom of the Event Benefits Segment table, prior paid 030 benefits should be remapped to 040 for reporting in R3.1 and
although 530 benefits are not noted on this table, they should be remapped to 540 for reporting in R3.1.
No Reduced Benefit Amount Code R for Reclassification will be necessary, and no SROI 02's needed to report this change.

Element Requirement Table

Changes for R3.1 that Alaska wants to draw to your attention.

Benefit Type Code Changes
Event Benefits Segment Conditions Tab

Last year, the IAIABC amended the Event Benefits Segment Conditions Tab for DN0192 Benefit Payment Issue Date to add the ability to collect Benefit Payment Issue Date on MTC CA and CB in addition to the already allowed MTC's IP, AP, PY and RB.
Alaska would like Benefit Payment Issue Date reported for all of those MTC's.

Req Code	MTC	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)	TECHNICAL CONDITION(S)	EXCEPTIONS	IAIABC NOTE
			Event: BENEFIT DATA ELEMENT				
MC	Refer to Event Benefit Segment Req Table legend	0192	Benefit Payment Issue Date	Required if: Number of Benefits (DN0288) is >0 and MTC = IP, AP, CA, CB, PY, RB, SX or any corresponding 02 and Benefit Payment Issue Date is permitted per the Event Ben Seq Requirement Table and Benefit Type Code (DN0085) is present and valid per Valid Value Table.	Required if: Number of Benefits (DN0288) is >0 and MTC = IP, AP, CA, CB, PY, RB, SX or any corresponding 02 and Benefit Payment Issue Date is permitted per the Event Ben Seq Requirement Table and Benefit Type Code (DN0085) is present and valid per Valid Value Table.		Must be Conditional (MC, EC) or NA (Not Applicable) based on Data Population Rules in Dictionary (Section 6).

Element Requirement Table

MTC 02 Change Process

One of the primary reasons for creating Release 3.1 was to improve the 02 Change process.

In Release 3, a state could just enter a Y in the 02 column if they wanted to know if a DN was changed. Most jurisdictions did not want to know every time a DN was updated in a Claim Administrator's system, but usually only wanted an 02 if it was initially reported wrong and is being changed.

A claim administrator's system can not determine if a change is being made because the data was wrong, or the data was just being updated.

This resulted in numerous 02's being triggered to a state, and the state was unable to tell specifically which data was being changed on the transaction.

Release 3.1 has now developed a specific 02 Change variable segment on the FROI and SROI to help resolve this.

Element Requirement Table

MTC 02 Change Process

A new 02 Change variable segment was created on both the FROI and SROI.

This segment contained new data elements created to identify the data element or segment that was being changed:

DN0412 – Change Date Element/Segment Number and

DN0413 – Change Reason Code

The new Change Reason Codes are:

A = Add

U = Update

R = Remove – Used for DN's not in a variable segment

D = Delete – Used for variable segments only, when an entire segment is being deleted.

Please refer to the R3.1 Implementation Guide, Data Dictionary for the definitions of these codes and data population rules for these DN's. Also please thoroughly review the 02 Change Processing Rules in Section 4.

Element Requirement Table

MTC 02 Change Process

These **new Change Reason Codes:**

A - Add, U – Update, R - Remove and D - Delete

now have their own columns in a special 02 set of columns on the FROI and SROI Element Requirement Table, along with an 02 Requirement Code column.

02				
	Reportable Change			
02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)

Element Requirement Table

MTC 02 Change Process

The IAIABC has created Reportable Change Codes for a jurisdiction to use to identify if an 02 Add, Update, Remove or Delete will be accepted. Some of these codes also identify which report (FROI or SROI) the change should be reported on.

The legend of these codes is found in the Instructions tab at the front of the Element Requirement Table.

REPORTABLE CHANGE CODES used on Alaska's Element Requirement Table are as follows:

Reportable Change Codes: Used in MTC 02 Reportable Change columns labeled A (Add) , U, (Update), R (Remove) and D (Delete)

Note: See IAIABC Release 3.1 Guide 02 Change Processing Rules in Section 4 for the full definitions.

B:	Restricted - IAIABC Defined No Change Allowed
N:	No Change Allowed - Will Reject if Present
H:	No Change Required - Will Not Reject if Present
K:	Required Change on FROI
KG:	Required Change on FROI with Exception
Y:	Required Change on FROI or on SROI not both. This code only applies to Data Elements not contained on both the FROI and SROI.
YG:	Required Change on FROI or on SROI not both with Exception. This code only applies to Data Elements not contained on both the FROI and SROI.
J:	Required Change by Transaction Type - Change on SROI if Accepted otherwise Change on FROI
JG:	Required Change by Transaction Type with Exception - Change on SROI if Accepted otherwise Change on FROI

Note: For Y, YG, J, JG, K and KG: Refer to the first column 'On FROI, SROI or Both' of the FROI Element Requirements and SROI Element Requirement Table for the indication of location of DN's. If value is not = Both then there is an indication of FROI (only on FROI) and SROI (only on SROI).

Element Requirement Table
MTC 02 Change Process

For **DN0412 – Change Date Element/Segment Number** Claim Administrators must identify the Change Data Element/Segment Number of the data that is changing.

If the data that is changing is NOT in a variable segment, you will send the DN data number for the data element that is changing.

If the data that is changing is IN a variable segment, you will send the Variable Segment Counter data number (DN). These are the "Number Of" Data elements.

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	Match Data	00	01	02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)	AQ	AU	UR - Upon Request (grandfathered)
			Please unfreeze the panes to see Legend information above.												
								Reportable Change							Grandfathered UR accepted. ADOL will not do UR- Update Report for R3.1.
			Change Data Elements												
Both	R21	0412	Change Data Element/Segment Number	4 A/N		X	X	M	B	B	B	B	X	X	X
Both	R21	0413	Change Reason Code	1 A/N		X	X	M	B	B	B	B	X	X	X

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Element Requirement Table
MTC 02 Change Process

A jurisdiction must identify if they want, or do not want, an 02 Change sent for each applicable Change Reason Code - Add, Update, Delete or Remove, for every data element on both the FROI and SROI. The way they express those requirements is by using the Reportable Change Codes, to identify when to send an MTC 02 Change, and on what transaction, FROI or SROI.

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	Match Data	00	01	02 Requirement Code	02 Reportable Change			
									A (Add)	U (Update)	D (Delete)	R (Remove)
Both	148	0015	Claim Administrator Claim Number (Key Match)	25 A/N		F	F	F	B	K	B	B
Both	148	0016	Employer FEIN	9 A/N		M	NA	MC	N	K	B	N
FROI	148	0021	Employer Physical City	15 A/N		AR	NA	MC	Y	Y	B	N
FROI	148	0022	Employer Physical State Code	2 A/N		MC	NA	MC	Y	Y	B	N
Both	148	0023	Employer Physical Postal Code	9 A/N		AR	NA	MC	K	K	B	N
FROI	148	0025	Industry Code (ADOL will require NAICS at a 6 digit level and will accept either 2017 or 2012 codes.	6 A/N		M	NA	MC	Y	Y	B	N
FROI	148	0027	Insured Location Identifier	15 A/N		AR	NA	MC	Y	Y	B	N
FROI	148	0028	Policy Number Identifier	18 A/N		MC	NA	MC	Y	Y	B	N
FROI	148	0029	Policy Effective Date	DATE		MC	NA	MC	Y	Y	B	N
FROI	148	0030	Policy Expiration Date	DATE		MC	NA	MC	Y	Y	B	N
Both	148	0031	Date of Injury	DATE	Y	m	m	m	B	K	B	B
FROI	148	0032	Time of Injury	HHMM		AR	AR	MC	Y	Y	B	N
FROI	148	0033	Accident Site Postal Code	9 A/N		MC	NA	MC	Y	Y	B	N
FROI	148	0035	Nature of Injury Code	2 A/N	Y	m	NA	m	N	K	B	N
FROI	148	0037	Cause of Injury Code	2 A/N	Y	m	NA	m	N	K	B	N

Element Requirement Table

MTC 02 Change Process

The 02 Requirement Code column will indicate if a DN is F (Fatal), M (Mandatory), or m (Mandatory Match Data), and also reflects a highlighted MC to indicate that a condition applies.

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	Match Data	00	01	02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)
									Reportable Change			
Both	148	0015	Claim Administrator Claim Number (Key Match)	25 A/N		F	F	F	B	K	B	B
Both	148	0016	Employer FEIN	9 A/N		M	NA	MC	N	K	B	N
FROI	148	0021	Employer Physical City	15 A/N		AR	NA	MC	Y	Y	B	N
FROI	148	0022	Employer Physical State Code	2 A/N		MC	NA	MC	Y	Y	B	N
Both	148	0023	Employer Physical Postal Code	9 A/N		AR	NA	MC	K	K	B	N
FROI	148	0025	Industry Code (ADOL will require NAICS at a 6 digit level and will accept either 2017 or 2012 codes.	6 A/N		M	NA	MC	Y	Y	B	N
FROI	148	0027	Insured Location Identifier	15 A/N		AR	NA	MC	Y	Y	B	N
FROI	148	0028	Policy Number Identifier	18 A/N		MC	NA	MC	Y	Y	B	N
FROI	148	0029	Policy Effective Date	DATE		MC	NA	MC	Y	Y	B	N
FROI	148	0030	Policy Expiration Date	DATE		MC	NA	MC	Y	Y	B	N
Both	148	0031	Date of Injury	DATE	Y	m	m	m	B	K	B	B
FROI	148	0032	Time of Injury	HHMM		AR	AR	MC	Y	Y	B	N
FROI	148	0033	Accident Site Postal Code	9 A/N		MC	NA	MC	Y	Y	B	N
FROI	148	0035	Nature of Injury Code	2 A/N	Y	m	NA	m	N	K	B	N
FROI	148	0037	Cause of Injury Code	2 A/N	Y	m	NA	m	N	K	B	B

Element Requirement Table

MTC 02 Change Process

Here is an example of a completed SROI Change Data variable segment from the IAIABC, with 6 changes noted. Five (5) of the data elements are being added and one (1) is being Updated. The Update is to the "Benefits" segment, so the DN number sent in the Change segment is for the Number of Benefits (DN0288).

	Change Data Elements		6 occurrences
0412	Change Data Element/Segment Number	0201	Anticipated Wage Loss Indicator
0413	Change Reason Code	A	Add
0412	Change Data Element/Segment Number	0068	Initial Return to Work Date
0413	Change Reason Code	A	Add
0412	Change Data Element/Segment Number	0403	Initial RTW Type Code
0413	Change Reason Code	A	Add
0412	Change Data Element/Segment Number	0404	Initial RTW Physical Restrictions Indicator
0413	Change Reason Code	A	Add
0412	Change Data Element/Segment Number	0405	Initial RTW With Same Employer
0413	Change Reason Code	A	Add
0412	Change Data Element/Segment Number	0288	Number of Benefits
0413	Change Reason Code	U	Update

FROI and SROI 02 Exceptions

Exceptions are described in the *FROI* or *SROI 02 Exceptions* tab for all reportable change codes ending with "G".

Examples from Alaska's completed SROI 02 Exceptions Tab are below:

On FROI, SROI, Both	REC	DN#	DATA ELEMENT NAME	FORMAT	02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)
								Reportable Change	
▼	▼	▼	▼	▼	▼	▼	▼	▼	▼
Variable Segments						E0	E0	E0	E0
		Benefits							
SROI	R22	0085	Benefit Type Code	3 A/N	MC	YG	Y	Y	B
SROI	R22	0002	Maintenance Type Code	2 A/N	NA	B	B	B	B
SROI	R22	0174	Gross Weekly Amount	$9.2	MC	YG	N	Y	B
SROI	R22	0175	Gross Weekly Amount Effective Date	DATE	MC	YG	Y	Y	B
SROI	R22	0087	Net Weekly Amount	$9.2	MC	YG	N	Y	B
SROI	R22	0211	Net Weekly Amount Effective Date	DATE	MC	YG	Y	Y	B
SROI	R22	0088	Benefit Period Start Date	DATE	MC	YG	Y	Y	B
SROI	R22	0089	Benefit Period Through Date	DATE	MC	YG	N	Y	B
SROI	R22	0090	Benefit Type Claim Weeks	4 N	MC	YG	Y	Y	B
SROI	R22	0091	Benefit Type Claim Days	1 N	MC	YG	Y	Y	B
SROI	R22	0086	Benefit Type Amount Paid	$9.2	MC	YG	N	Y	B
SROI	R22	0192	Benefit Payment Issue Date	DATE		YG	N	Y	B

FROI and SROI 02 Exceptions

Examples from Alaska's completed SROI 02 Exceptions Tab are below:

Req Code	Change Reason Code	DN#	DATA ELEMENT NAME	EXCEPTIONS	IAIABC NOTE
YG	A	0085	Benefit Type Code	SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP). See Edit Matrix: Population Restriction for Number of Benefits. Element Error Text: SROI02:CanAddOnlyNewDN85,checkDN85 EM_POP_REST_DN0288_01	refer to Code Usage Limitations in *02 Change Processing Rules* in Section 4 of Claims Release 3.1 implementation guide
YG	A	0086	Benefit Type Amount Paid	SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment (IP, EP or AP). See Edit Matrix: Population Restriction for Number of Benefits.	refer to Code Usage Limitations in *02 Change Processing Rules* in Section 4 of Claims Release 3.1 implementation guide
YG	A	0087	Net Weekly Amount	SROI 02 Change may be sent to report a waiting period paid for a different Benefit Type from the Benefit Type previously reported on the IP (Initial Payment), EP (Employer Paid), or AP (Acquired Payment). This will be indicated as an A (Add) in the Change Variable Segment for the Benefit Segment because a new Benefit Segment occurrence was added. A new DN0085 Benefit Type Code was added and the DN0089 Benefit Period Through Date of the new DN0085 Benefit Type Code was prior to the DN0088 Benefit Period Start Date of the Initial Payment	refer to Code Usage Limitations in *02 Change Processing Rules* in Section 4 of Claims Release 3.1 implementation guide

02 Grouped Conditions

As previously mentioned, when the MC is shaded in a light yellow, this is an indication that Alaska has changed the shading from blue to yellow for the MC, and has added a condition to the Conditions tab for MTC 02.

Alaska has grouped several DN's together for the purposes of editing the 02 Changes. These grouped data elements at the bottom of the ERT Conditions tab, identify all the DN's that are to be present <u>on the 02 transaction</u>, if one of them is being changed as noted in the condition.

Req Cod	MTC	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)	TECHNICAL CONDITION(S)
MC	02	DN#	DATA ELEMENT NAME: NEW Grouping Edits for MTC 02		
MC	SROI 02 (Group 1)	0068	Initial RTW Date	Data grouping MTC 02 Change relationship edits needed: All DN's must be present on the MTC 02 transaction for Add or Update or Remove to 0068 (Initial RTW Date), 0403 (Initial RTW Type Code), 0404 (Initial RTW Physical Restrictions Indicator). Note: This edit is only applicable if it is a Non-Legacy Claim as defined in the Legacy Claim Definition Tab.	If SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or = U (Update) and it is a Non-Legacy Claim per the Legacy Claim Definition Tab, then 0068 (Initial RTW Date) is required to be present on the MTC 02 transaction whenever Change Data Element/Segment Number (DN0412) = 0403 (Initial RTW Type Code) or = 0404 (Initial RTW Physical Restrictions Indicator).
MC	SROI 02 (Group 1)	0404	Initial RTW Physical Restrictions Indicator	Data grouping MTC 02 Change relationship edits needed: All DN's must be present on the MTC 02 transaction for Add or Update or Remove to 0068 (Initial RTW Date), 0403 (Initial RTW Type Code), 0404 (Initial RTW Physical Restrictions Indicator). Note: This edit is only applicable if it is a Non-Legacy Claim as defined in the Legacy Claim Definition Tab.	If SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or = U (Update) and it is a Non-Legacy Claim as defined in the Legacy Claim Definition Tab, then 0404 (Initial RTW Physical Restrictions Indicator) is required to be present on the MTC 02 transaction whenever Change Data Element/Segment Number (DN0412) = 0403 (Initial RTW Type Code) or = 0068 (Initial RTW Date).
MC	SROI 02 (Group 1)	0403	Initial RTW Type Code	Data grouping MTC 02 Change relationship edits needed: All DN's must be present on the MTC 02 transaction for Add or Update or Remove to 0068 (Initial RTW Date), 0403 (Initial RTW Type Code), 0404 (Initial RTW Physical Restrictions Indicator). Note: This edit is only applicable if it is a Non-Legacy Claim as defined in the Legacy Claim Definition Tab.	If SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or = U (Update) and it is a Non-Legacy Claim as defined in the Legacy Claim Definition Tab, then DN0403 (Initial RTW Type Code) is required to be present on the MTC 02 transaction whenever Change Data Element/Segment Number (DN0412) = 0068 (Initial RTW Date) or = 0404 (Initial RTW Physical Restrictions Indicator).

02 Grouped Conditions

The example illustrates "SROI 02 Group 1" for the Initial RTW Date and the other 2 related data elements, Initial RTW Type Code, Initial RTW Physical Restrictions Indicator.

Req Cod	MTC	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)	TECHNICAL CONDITION(S)
MC	02	DN#	DATA ELEMENT NAME: NEW Grouping Edits for MTC 02		
MC	SROI 02 (Group 1)	0068	Initial RTW Date	Data grouping MTC 02 Change relationship edits needed: All DN's must be present on the MTC 02 transaction for Add or Update or Remove to 0068 (Initial RTW Date), 0403 (Initial RTW Type Code), 0404 (Initial RTW Physical Restrictions Indicator). Note: This edit is only applicable if it is a Non-Legacy Claim as defined in the Legacy Claim Definition Tab.	If SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or = U (Update) and it is a Non-Legacy Claim per the Legacy Claim Definition Tab, then 0068 (Initial RTW Date) is required to be present on the MTC 02 transaction whenever Change Data Element/Segment Number (DN0412) = 0403 (Initial RTW Type Code) or = 0404 (Initial RTW Physical Restrictions Indicator).
MC	SROI 02 (Group 1)	0404	Initial RTW Physical Restrictions Indicator	Data grouping MTC 02 Change relationship edits needed: All DN's must be present on the MTC 02 transaction for Add or Update or Remove to 0068 (Initial RTW Date), 0403 (Initial RTW Type Code), 0404 (Initial RTW Physical Restrictions Indicator). Note: This edit is only applicable if it is a Non-Legacy Claim as defined in the Legacy Claim Definition Tab.	If SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or = U (Update) and it is a Non-Legacy Claim as defined in the Legacy Claim Definition Tab, then 0404 (Initial RTW Physical Restrictions Indicator) is required to be present on the MTC 02 transaction whenever Change Data Element/Segment Number (DN0412) = 0403 (Initial RTW Type Code) or = 0068 (Initial RTW Date).
MC	SROI 02 (Group 1)	0403	Initial RTW Type Code	Data grouping MTC 02 Change relationship edits needed: All DN's must be present on the MTC 02 transaction for Add or Update or Remove to 0068 (Initial RTW Date), 0403 (Initial RTW Type Code), 0404 (Initial RTW Physical Restrictions Indicator). Note: This edit is only applicable if it is a Non-Legacy Claim as defined in the Legacy Claim Definition Tab.	If SROI MTC (DN0002) = 02 and Change Reason Code (DN0413) = A (Add) or = U (Update) and it is a Non-Legacy Claim as defined in the Legacy Claim Definition Tab, then DN0403 (Initial RTW Type Code) is required to be present on the MTC 02 transaction whenever Change Data Element/Segment Number (DN0412) = 0068 (Initial RTW Date) or = 0404 (Initial RTW Physical Restrictions Indicator).

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Alaska's Edit Matrix

Alaska's Edit Matrix:

The Alaska Edit Matrix defines the valid code values Alaska accepts, the Match Data that will be used to match transactions and the edits that will be applied to the EDI data.

It conveys the specific edit that will be applied to each data element and provides the standard error messages associated with these edits.

This spreadsheet is very important for understanding and correcting errors.

Tables Located in the Edit Matrix

- **Alaska Edit Matrix Change Log:** summary of all changes to Edit Matrix from one version to another
- **DN-Error Message:** "standard" editing developed for Release 3.1 data elements and Alaska specific edits
- **Value Table:** expresses acceptable code values
- **Valid Value Detail Page 1 and 2:** two tables showing another version of the value table containing the code values, definitions what Alaska accepts. This is helpful to print out for a quick reference.
- **Match Data:** describes the data elements used to determine if the report will create a new claim or find an existing claim
- **Match Data for MTC UR (Grandfathered):** describes the data elements used to determine if the UR(G) report will find an existing claim.
- **Population Restrictions:** contains any restrictions applied to the data element(s)
- **Legacy Claim Definition:** Defines a Legacy and Non-Legacy Claim as it applies to the editing.
- **Sequencing Table:** contains MTC types with the clarification of what should precede the current MTC being filed.

Troubleshooting Errors in the Edit Matrix

All Data Elements on the FROI and SROI have a 4 digit "DN" Data Number that is used to identify the data element on the Acknowledgement.

Every Error also has an Error Number. These DN Number and Error Number combinations that are present on the acknowledgment can be used to find the error in this DN Error Table or the Population Restrictions tab, which further explains more complex edits.

This is an example of the DN Error Message Tab

DN	IAIABC Data Element Name	Match Data	Jurisdiction will apply edits?	Population Restrictions Indicator	Mandatory field not present (001)	Number of Days Worked must be 0-7 (018)	Days must be 0-6 (019)	All digits must be 0-9 (028)	Must be a valid date (CCYYMMDD) (029)	Must be A-Z, 0-9, or spaces (030)	Must be a valid time (031)	Must be <= Date of Injury (033)	Must be >= Date of Injury (034)
0000	Entire Batch		Y										
0001	Transaction Set ID		F		F								
0002	Maintenance Type Code	Y	F	P	F								
0003	Maintenance Type Code Date	Y	F	P	F					L			L
0004	Jurisdiction Code		F	P	F								
0005	Jurisdiction Claim Number	Y	Y	P	L								
0006	Insurer FEIN	Y	F	P	F				L				
0007	Insurer Name		Y		L								
0010	Claim Administrator Primary Address		Y		L								
0011	Claim Administrator Secondary Address		Y										
0012	Claim Administrator City		Y		L								
0013	Claim Administrator State Code		Y		L								
0014	Claim Administrator Postal Code		Y	P	L								
0015	Claim Administrator Claim Number		F	P	F								

Population Restrictions

The *Population Restriction tab* contains:

➤ The DN # (it is in DN # order)
➤ Report or MTC
➤ Data Element Name
➤ Exception
➤ Error Message #
➤ Error Message Text (IAIABC Description)
➤ Element Error Text (50 char description from AK)

DN	Report or MTC	Data Element Name	Population Restriction	Exception	Error Message Number	Error Message Text	Element Error Text (DN0291)
0002	SROI FN	Maintenance Type Code	If last accepted MTC = SROI IP, AP, EP, CB, RB, ER and the incoming SROI MTC FN DN0288 Number of Benefits > 00 AND DN0226 Recovery Code - 880 (Voided Indemnity Check) is NOT present then reject SROI MTC = FN	Exclude SROI 02 or SROI CO (if applicable), or periodics	063	Invalid Event Sequence	SROI SX required prior to FN
0003	FROI & SROI	Maintenance Type Code Date	Must be greater than or equal to Trading Partners EDI Implementation Date (20210517)	Do not run this edit on Test claims where	111	Must be valid content	Must be greater than or equal to EDI Imp Date
0003	FROI & SROI	Maintenance Type Code Date	MTC Dates must be no more than 7 days prior to Date Transmission Sent.		064	Invalid data relationship	MTC Date cant b more than 7 dys prior to Date Sent
0003	FROI & SROI	Maintenance Type Code Date	MTC Date must be greater than or equal to MTC Date of last MTC Report that is in TA or TE acknowledgment status.	Do Not apply edit if: Sender ID = (ADOL Sender ID) Master FEIN:	064	Invalid data relationship	Must be >= last MTC Date in TA/TE ack
0003	SROI AN	Maintenance Type Code Date	DN0003 (Maintenance Type Code Date) must not be reported as the same year as the Date of Injury.		064	Invalid data relationship	MTC Date must not = same year as DOI
0004	FROI & SROI	Jurisdiction Code	Value must be "AK"		111	Must be valid content	Value must be equal to "AK"
0005	FROI & SROI	Jurisdiction Claim Number	Must be the same number assigned by ADOL when the claim was established. See Match Data Processing Note for additional information.		117	Match data value not consistent with value previously reported	Match Data - JCN must be same as assigned JCN
0006	FROI 02	Insurer FEIN	Multiple match data value can be changed in one transaction. See Match Data Table and exception under 'Category 4'.		117	Match data value not consistent with value previously reported	3 match DN's can change; exception in Category 4

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Troubleshooting Errors in the Edit Matrix

The Acknowledgement should provide you with the following information to help you resolve errors:

Element Number (DN)
Element Error Number
Variable Segment Number
Element Error Text

In this example the acknowledgement showed the following:

Element Number (DN): **0003 (MTC Date)**

Element Error Number: **064 (Invalid Data Relationship)**

Variable Segment Number: **00 (not in a variable segment)**

Element Error Text: **MTC Date cant b more than 7 dys prior to Date Sent**

DN	Report or MTC	Data Element Name	Population Restriction	Exception	Error Message Number	Error Message Text	Element Error Text (DN0291)
0003	FROI & SROI	Maintenance Type Code Date	MTC Dates must be no more than 7 days prior to Date Transmission Sent.		064	Invalid data relationship	MTC Date cant b more than 7 dys prior to Date Sent

Troubleshooting Errors in the Edit Matrix
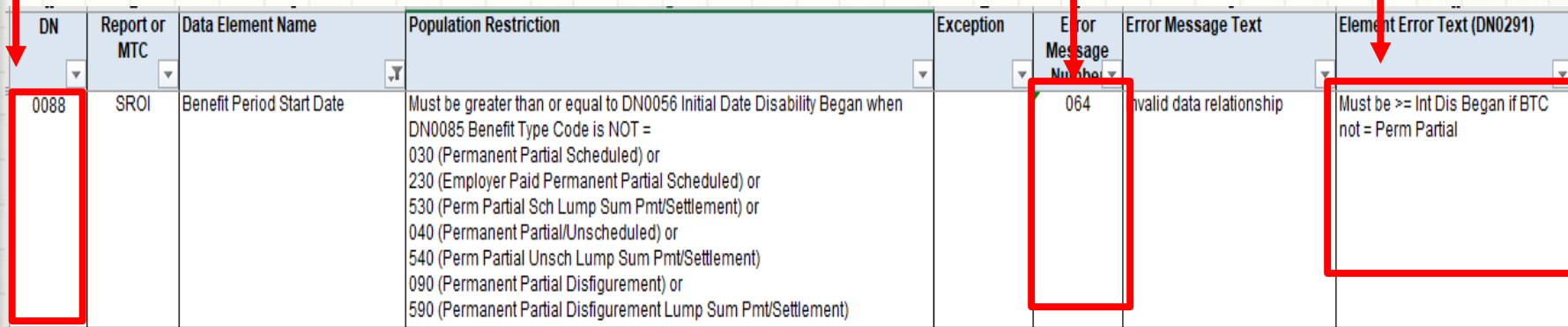
Another example: The Acknowledgement showed the following:

Element Number (DN) 0088 (Benefit Period Start Date)
Element Error Number 064 (Invalid Data Relationship)
Variable Segment Number: 02
Element Error Text: Must be >= Int Dis Began if BTC not = Perm Partial

DN	Report or MTC	Data Element Name	Population Restriction	Exception	Error Message Number	Error Message Text	Element Error Text (DN0291)
0088	SROI	Benefit Period Start Date	Must be greater than or equal to DN0056 Initial Date Disability Began when DN0085 Benefit Type Code is NOT = 030 (Permanent Partial Scheduled) or 230 (Employer Paid Permanent Partial Scheduled) or 530 (Perm Partial Sch Lump Sum Pmt/Settlement) or 040 (Permanent Partial/Unscheduled) or 540 (Perm Partial Unsch Lump Sum Pmt/Settlement) or 090 (Permanent Partial Disfigurement) or 590 (Permanent Partial Disfigurement Lump Sum Pmt/Settlement)		064	Invalid data relationship	Must be >= Int Dis Began if BTC not = Perm Partial

ALASKA'S SEQUENCING TABLE

Sequencing Table – FROI Full View

FROI Sequencing – Full View

If Last Accepted FROI MTC Is:	Last FROI MTC Description	FROI Sequencing Exception Edits (3)									FROI — Not shaded: MTC can follow with consideration of Sequencing Exception Edits. Blue Shaded: IAIABC defined MTC cannot follow. MTC Accepted for Seq: Y or N (2) — See Sequencing Exception Edits (3) for edits concerning an unblue MTC.																	SROI Sequencing Exception Edits (3)						SROI — Not shaded: MTC can follow with consideration of Sequencing Exception Edits. Blue Shaded: IAIABC defined MTC cannot follow. MTC Accepted for Seq: Y or N (2) — See Sequencing Exception Edits (3) for edits concerning an unblue MTC.
	MTC Accepted for Seq Y or N > (2)										Y	Y	Y	N	N	Y	Y	N	N	Y	Y	Y	N								Y	N	N	N	Y	Y	Y	Y	N	Y	Y	Y	Y	N	N	Y	Y	N	N	N	Y	N	Y	N	N	N	N
C JE	JUR received 'Claim from Employee' (WC Claim by form 07-	F09	F17	F21							00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP								02	04	AB	AC	AP	CA	CB	CD	CO	EP	ER	FN	IP	NT	PD	PX	PY	RB	SX	SU	UI	UR	UR(G)	VE	AN	BM	BW	MN	QT	SA
X JP	JUR received 'Claim Other' (8)	F09	F17								00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP								02	04	AB	AC	AP	CA	CB	CD	CO	EP	ER	FN	IP	NT	PD	PX	PY	RB	SX	SU	UI	UR	UR(G)	VE	AN	BM	BW	MN	QT	SA
C JH	Legacy Claim (Claims prior to EDI R3.0 Implementation)	A01	A02	F08	F09	F13	F16	F21	CO2		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP	S05							02	04	AB	AC	AP	CA	CB	CD	CO	EP	ER	FN	IP	NT	PD	PX	PY	RB	SX	SU	UI	UR	UR(G)	VE	AN	BM	BW	MN	QT	SA
C NONE (5)	No MTC Filed	F08	F16	C01							00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP								SROI MTC's are not accepted
C 00	Original	A01	A02	A03	F04	F13	F14	F18	F19	F23 C03	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP	A03	S14	S21	S38	S39	S40		02	04	AB	AC	AP	CA	CB	CD	CO	EP	ER	FN	IP	NT	PD	PX	PY	RB	SX	SU	UI	UR	UR(G)	VE	AN	BM	BW	MN	QT	SA
C 01	Cancel	A03	F05	F08	F09						00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP								SROI MTC's are not accepted
C 02 - FROI	Change	A03	F01	F02	F03	F09					00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP								MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
C 04 - FROI	Denial	A01	A02	A03	F04	F06	F19	F22	C03		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP	A03	S10	S14	S21	S38	S39	S40	02	04	AB	AC	AP	CA	CB	CD	CO	EP	ER	FN	IP	NT	PD	PX	PY	RB	SX	SU	UI	UR	UR(G)	VE	AN	BM	BW	MN	QT	SA
C AQ	Acquired Claim	A01	A02	F04	F12	F23	F24	C03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP	S38	S39	S40					02	04	AB	AC	AP	CA	CB	CD	CO	EP	ER	FN	IP	NT	PD	PX	PY	RB	SX	SU	UI	UR	UR(G)	VE	AN	BM	BW	MN	QT	SA
C AU	Acquired/Unallocated	A01	A02	F04	F12	F19	C03				00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP	S21	S38	S39	S40				02	04	AB	AC	AP	CA	CB	CD	CO	EP	ER	FN	IP	NT	PD	PX	PY	RB	SX	SU	UI	UR	UR(G)	VE	AN	BM	BW	MN	QT	SA
C CO - FROI	Correction	A01	A02	F04	F06	F09					00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP	F03	S14	S33					MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X UI	Under Investigation	A01	A02	A03	F04	F06	C01				00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP	A03	S38	S39	S40				02	04	AB	AC	AP	CA	CB	CD	CO	EP	ER	FN	IP	NT	PD	PX	PY	RB	SX	SU	UI	UR	UR(G)	VE	AN	BM	BW	MN	QT	SA
X UR	Update Report	A01	A02	A03	F11						00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP	A03	S14	S19	S28	S38	S39	S40	02	04	AB	AC	AP	CA	CB	CD	CO	EP	ER	FN	IP	NT	PD	PX	PY	RB	SX	SU	UI	UR	UR(G)	VE	AN	BM	BW	MN	QT	SA
C UR(G)	Upon Request (Grandfathered) (9)	A01	A02	A03	F03	C02					00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP	A03	F03	S38	S39	S40			02	04	AB	AC	AP	CA	CB	CD	CO	EP	ER	FN	IP	NT	PD	PX	PY	RB	SX	SU	UI	UR	UR(G)	VE	AN	BM	BW	MN	QT	SA

Footnotes: (Please see Instructions for additional details).

(1) ACTION: Consider MTC in Seq C or X: Should the MTC be considered as 'Last Accepted MTC' for sequencing? C (Consider) or X (Do Not Consider). Option: When the value of X is populated then the row may be deleted.

(2) ACTION: MTC Accepted for Seq **Y** or **N:** If the MTC is accepted then must be = Y (yes) otherwise must = N (no). Option: When the value of N is populated then the column may be deleted.

(3) ACTION: FROI and SROI Sequencing Exception Edits: Grey the cell if the Sequencing Exception Edit will not be applied as indicated on the 'Sequencing Exception Edits' tab in 'Edit Applied = N'. ST=State Code.

(4) NOTE: Legacy: Refer to IAIABC Guide; Legacy Data, Jurisdictions Definition, Event Table

(5) NOTE: NONE: means that no FROIs have been accepted.

(6) NOTE: 'Jump' indicates that if any of these MTC's is the last accepted MTC, then do not consider the MTC, use the MTC's that preceded these MTC's: (02, CA, CO, NT, PD, PY, UR(G), SU, Periodics) if accepted by jurisdiction.

(7) NOTE: MTC change from previous Release: MTC not in R3.0: 4P, FS. MTC not in R3.1: 4P, FS, RE, S1-SJ, P1-PJ. MTC new: R3.1 NT, SU. If accepted by jurisdiction.

(8) NOTE: Reference to MTC Jx (JH, JE, JP examples): These are Juris Use MTC 'examples' for use not IAIABC defined MTC's. Should be deleted or modified by Jur.

(9) NOTE: Reference to MTC UR(G): The (G) is added to this sequencing document to indicate (Grandfathered) for UR (Upon Request).

Sequencing Table – SROI Full View

SROI Sequencing – Full View

	If Last Accepted SROI MTC Is:	Last SROI MTC Description	FROI Sequencing Exception Edits (3)				Not shaded: MTC can follow with consideration of Sequencing Exception Edits. Blue Shaded: IAIABC defined MTC cannot follow. MTC Accepted for Seq: Y or N (2) See Sequencing Exception Edits (3) for edits concerning an unblue MTC	FROI SROI Sequencing Exception Edits (3)	SROI: Not shaded: MTC can follow with consideration of Sequencing Exception Edits. Blue Shaded: IAIABC defined MTC cannot follow. MTC Accepted for Seq: Y or N (2) See Sequencing Exception Edits (3) for edits concerning an unblue MTC
		MTC Accepted for Seq Y or N > (2)							
X	JH	Legacy Claim (4)(8)	F03	F08	F13	F16		S18 S24 S38 S39 S40	
C	02 - SROI	Change	A01	A03	F04	F03		A01 A03 F03 S18 S20 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
C	04 - SROI	Denial	A01	A03	F04			A01 A03 S10 S14 S18 S32 S38 S39 S40	
X	4P	Partial Denial	A01	A03				A01 A03 S14 S18 S38 S39 S40	
X	AB	Add Concurrent Benefit Type	A01	A03				A01 A03 S18 S38 S39 S40	
X	AC	Acquisition/Indemnity Ceased	A01	A03				A01 A03 S38 S39 S40	
C	AP	Acquired/Payment	A01	A03	F04			A01 A03 S37 S38 S39 S40	
C	CA	Change in Benefit Amount	A01	A03	F04			A01 A03 S16 S18 S25 S35 S37 S38 S39 S40	
C	CB	Change in Benefit Type	A01	A03	F04			A01 A03 S18 S38 S39 S40	
C	CD	Compensable Death – No Known Dependents/Payees	A01	A03	F04			A01 A03 S18 S38 S39 S40	
C	CO-SROI	Correction	A01	A03	F04			A01 A03 F03 S15 S18 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
C	EP	Employer Paid	A01	A03	F04			A01 A03 S14 S18 S37 S38 S39 S40	
C	ER	Employer Reinstatement	A01	A03	F04			A01 A03 S18 S38 S39 S40	
C	FN	Final	A01	A03	F04			A01 A03 S14 S18 S30 S31 S38 S39 S40	
X	FS	Full Salary	A01	A03	F04			A01 A03 S14 S18 S37 S38 S39 S40	
C	IP	Initial Payment	A01	A03	F04			A01 A03 F04 S14 S37 S38 S39 S40	
C	NT	Narrative	A01	A03				A01 A03 S14 S34	
C	PD	Partial Denial	A01	A03				A01 A03 S14 S18 S38 S39 S40	
X	P1-PJ	Partial Suspension (7)	A01	A03				A01 A03 S18 S38 S39 S40	
X	PX	Partial Suspension, RTW or Med Determined/Qualified to RTW	A01	A03				A01 A03 S18 S38 S39 S40	
C	PY	Payment Report	A01	A03	F04			A01 A03 S14 S18 S38 S39 S40	
X	RE	Reduced Earnings (7)	A01	A03	F04			S33 A03	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
C	RB	Reinstatement of Benefit	A01	A03	F04			A01 A03 S18 S38 S39 S40	
C	S1-SJ	Full Suspension (7)	A01	A03	F04			A01 A03 S14 S18 S38 S39 S40	
X	SU	Sync Up	A01	A03				A01 A03 S38 S39 S40	
C	SX	Full Suspension	A01	A03	F04			A01 A03 S14 S18 S36 S38 S39 S40	
X	UI	Under Investigation	A01	A03				A01 A03 S18 S38 S39 S40	
X	UR	Update Report	A01	A02	A03	F04		A03 S19 S23 S24 S28 S34 S38 S39 S40	Accepted SROI MTC's allowed to follow.
C	UR(G)	Upon Request (Grandfathered) (9)	A01	A02	A03	F04		A03 S19 S28 S34	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
C	VE	Volunteer	A01	A03				A01 A03 S18 S38 S39 S40	
C	AN	Annual	A01	A03				A01 A03 S05 S13 S18 S26 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X	BM	Bi-Monthly	A01	A03				A01 A03 S05 S13 S18 S28 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X	BW	Bi-Weekly	A01	A03				A01 A03 S05 S13 S18 S28 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X	MN	Monthly	A01	A03				A01 A03 S05 S13 S18 S28 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X	QT	Quarterly	A01	A03				A01 A03 S05 S13 S18 S28 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X	SA	Sub-Annual	A01	A03	F03			A01 A03 S05 S13 S18 S28 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC

Footnotes: (Please see Instructions for additional details).

(1) ACTION: Consider MTC in Seq C or X: Should the MTC be considered as 'Last Accepted MTC' for sequencing? C (Consider) or X (Do Not Consider). Option: When the value of X is populated then the row may be deleted.

(2) ACTION: MTC Accepted for Seq **Y or N**: If the MTC is accepted then must be = Y (yes) otherwise must = N (no). Option: When the value of N is populated then the column may be deleted.

(3) ACTION: FROI and SROI Sequencing Exception Edits: Grey the cell if the Sequencing Exception Edit will not be applied as indicated on the 'Sequencing Exception Edits' tab in 'Edit Applied = N'. ST=State Code.

(4) NOTE: Legacy: Refer to IAIABC Guide; Legacy Data, Jurisdictions Definition, Event Table

(5) NOTE: NONE: means that no FROIs have been accepted.

(6) NOTE: 'Jump' indicates that if any of these MTC's is the last accepted MTC, then do not consider the MTC, use the MTC's that preceded these MTC's: (02, CA, CO, NT, PD, PY, UR(G), SU, Periodics) if accepted by jurisdiction.

(7) NOTE: MTC change from previous Release: MTC not in R3.0: 4P, FS. MTC not in R3.1: 4P, FS, RE, S1-SJ, P1-PJ. MTC new: R3.1 NT, SU. If accepted by jurisdiction.

(8) NOTE: Reference to MTC Jx (JH, JE, JP examples): These are Juris Use MTC 'examples' for use not IAIABC defined MTC's. Should be deleted or modified by Jur.

(9) NOTE: Reference to MTC UR(G): The (G) is added to this sequencing document to indicate (Grandfathered) for UR (Upon Request).

Sequencing Table – How does it work?

How does the Sequencing Table work?

There are **3 main sections** on the Jurisdiction Sequencing Table:

1: Consider MTC in Seq C or X: Should the MTC be considered as 'Last Accepted MTC' for sequencing? C (Consider) or X (Do Not Consider).

2: MTC Accepted for Seq: Y (Yes) or N (No) with Blue Shaded/Not Shaded MTCs

3: Sequencing Exception Edits: Will the Sequencing Exception Edit(s) be applied?

< Consider MTC in Seq C or X)	If Last Accepted FROI MTC Is:	Last FROI MTC Description	FROI Sequencing Exception Edits (3)									FROI — Not shaded: MTC can follow with consideration of Sequencing Exception Edits. Blue Shaded: IAIABC defined MTC cannot follow. MTC Accepted for Seq: Y or N (2) See Sequencing Exception Edits (3) for edits concerning an unblue MTC.													
		MTC Accepted for Seq Y or N > (2)	BROWN BOXES NOT USED									Y	Y	Y	N	N	Y	Y	N	N	Y	Y	Y	N
C	JE	JUR received 'Claim from Employee' (WC Claim by form 07-	F09	F17	F21							00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	JP	JUR received 'Claim Other' (8)	F09	F17								00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	JH	Legacy Claim (Claims prior to EDI R3.0 Implementation)	A01	A02	F08	F09	F13	F16	F21	C02		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	NONE (5)	No MTC Filed	F08	F16	C01							00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	00	Original	A01	A02	A03	F04	F13	F14	F18	F19	F23 C03	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	01	Cancel	A03	F05	F08	F09						00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	02 - FROI	Change	A03	F01	F02	F03	F09					00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	04 - FROI	Denial	A01	A02	A03	F04	F06	F19	F22	C03		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	AQ	Acquired Claim	A01	A02	F04	F12	F23	F24	C03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	AU	Acquired/Unallocated	A01	A02	F04	F12	F19	C03				00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CO - FROI	Correction	A01	A02	F04	F06	F09					00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	UI	Under Investigation	A01	A02	A03	F04	F06	C01				00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	UR	Update Report	A01	A02	A03	F11						00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	UR(G)	Upon Request (Grandfathered) (9)	A01	A02	A03	F03	C02					00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP

3. Sequencing Exception Edit Table >

Edit Applied? ST-Y/N	Edit ID	Sequencing Exception Edits Note: A Jurisdiction should contact the Systems Committee if additions or changes are needed.	DN0291 Element Error Text (Recommended) Note: A Jurisdiction may change the text. Recommend keeping the Edit ID as part of the text.
AK-Y	A02	FROI 01 Cancel is not allowed to follow. Business Reason: Jurisdiction may have	JUR will not allow this JCN to be cancelled (A02)
AK-Y	C01	If you submit a FROI UR(G) for a claim that is not a legacy claim for that insurer,	Case is not a legacy claim, FROI UR(G) not allowed (C01)
AK-Y	C02	If you submit a FROI-UR(G) on a legacy claim which has already had a FROI-UR	Legacy claim already has FROI UR(G) (C02)
AK-Y	F01	FROI 00 or 01 or 04 (if accepted by jurisdiction) allowed to follow if no previous	Prev FROI not TA or TE ack status (F01)

(1) Consider MTC in Seq C or X

R3.0 MTCs removed

Release R3.0 MTCs that have been removed from the R3.1 standard are included on the R3.1 Sequencing Table in order to be considered in MTC Sequencing for transition when using MTC UR(G). (Release 1 MTC's are there for the same reason)

SROI R3.0 MTC P1-PJ, RE and S1-SJ are MTCs in R3.0 however are not in R3.1

- These MTCs can be considered in MTC sequencing.
- These MTCs are not allowed to follow because they are not in R3.1

< Consider MTC in Seq C or X	If Last Accepted SROI MTC Is:	Last SROI MTC Description	FROI Sequencing Exception Edits (3)	FROI: Not shaded: MTC can follow with consideration of Sequencing Exception Edits. Blue Shaded: IAIABC defined MTC cannot follow. MTC Accepted for Seq: Y or N (2). See Sequencing Exception Edits (3) for edits concerning an unblue MTC	SROI Sequencing Exception Edits (3)	SROI: Not shaded: MTC can follow with consideration of Sequencing Exception Edits. Blue Shaded: IAIABC defined MTC cannot follow. MTC Accepted for Seq: Y or N (2). See Sequencing Exception Edits (3) for edits concerning an unblue MTC
		MTC Accepted for Seq Y or N > (2)		Y Y Y N N Y Y N N Y Y Y N		Y N N N Y Y Y Y N Y Y Y Y Y Y Y N N Y Y N Y Y N Y Y N N N N N
X	JH	Legacy Claim (4)(8)	F03 F08 F13 F16	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	S18 S24 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	02 - SROI	Change	A01 A03 F04 F03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 F03 S18 S20 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
C	04 - SROI	Denial	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S10 S14 S18 S32 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
X	4P	Partial Denial	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S14 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
X	AB	Add Concurrent Benefit Type	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
X	AC	Acquisition/Indemnity Ceased	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	AP	Acquired/Payment	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S37 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	CA	Change in Benefit Amount	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S16 S18 S25 S35 S37 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	CB	Change in Benefit Type	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	CD	Compensable Death – No Known Dependents/Payees	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	CO-SROI	Correction	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 F03 S15 S18 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
C	EP	Employer Paid	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S14 S18 S37 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	ER	Employer Reinstatement	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	FN	Final	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S14 S18 S30 S31 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
X	FS	Full Salary	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S18 S14 S37 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	IP	Initial Payment	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 F04 S14 S37 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	NT	Narrative	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S14 S34	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
C	PD	Partial Denial	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S14 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
X	P1-PJ	Partial Suspension (7)	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
X	PX	Partial Suspension, RTW or Med Determined/Qualified to RTW	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	PY	Payment Report	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S14 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
X	RE	Reduced Earnings (7)	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	S33 A03	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
C	RB	Reinstatement of Benefit	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	S1-SJ	Full Suspension (7)	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S14 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
X	SU	Sync Up	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU S1 UI UR UR(G) VE AN BM BW MN QT SA
C	SX	Full Suspension	A01 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S14 S18 S36 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
X	UI	Under Investigation	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S18 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
X	UR	Update Report	A01 A02 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A03 S19 S23 S24 S28 S34 S38 S39 S40	Accepted SROI MTC's allowed to follow.
C	UR(G)	Upon Request (Grandfathered) (9)	A01 A02 A03 F04	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A03 S19 S28 S34	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
C	VE	Volunteer	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S38 S39 S40	02 04 AB AC AP CA CB CD CO EP ER FN IP JH NT PD PX PY RB SU SX UI UR UR(G) VE AN BM BW MN QT SA
C	AN	Annual	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S05 S13 S18 S28 S26 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X	BM	Bi-Monthly	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S05 S13 S18 S28 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X	BW	Bi-Weekly	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S05 S13 S18 S28 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X	MN	Monthly	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S05 S13 S18 S28 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X	QT	Quarterly	A01 A03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S05 S13 S18 S28 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC
X	SA	Sub-Annual	A01 A03 F03	00 01 02 04 CO AQ AU UI UR UR(G) JH JE JP	A01 A03 S05 S13 S18 S28 S33	MTC's allowed to follow based on MTC that preceded (exclude JUMP MTC's (6)) this last accepted MTC

(1) Consider MTC in Seq C or X - SROI MTC UR (Upon Request Grandfathered) ADOL SROI example, FROIs that follow

ADOL SROI Example for use of MTC UR **(Upon Request (Grandfathered))**

X (Do not consider) in Sequencing (Seq):

1. If the MTC is = UR Update report
2. If the MTC is not accepted in both R3' and R3.1
   SROI MTC not accepted in both R3.0 and R3.1: AB, UI, BM, BW, MN, QT, SA so will be set to = X
3. AK SROI R3.0 MTC only that was not accepted in R3.0 : RE and P1-PJ so will be set to = X
4. If the MTC is a R3.1 MTC only and the MTC is not accepted in R3.1
   AK SROI R3.1 MTC only that is not accepted in R3.1: AC, PX, SU so will be set to = X

C (Consider) in Sequencing for MTCs that are not set to X (Do not Consider).

< Consider MTC in Seq C or X(!)	If Last Accepted SROI MTC Is:	Last SROI MTC Description	FROI Sequencing Exception Edits (3)				FROI — Not shaded: MTC can follow with consideration of Sequencing Exception Edits. Blue Shaded: IAIABC defined MTC cannot follow. MTC Accepted for Seq: Y or N (2)												
		MTC Accepted for Seq Y or N > (2)					Y	Y	Y	N	N	Y	Y	N	N	Y	Y	Y	N
							00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	JH	Legacy Claim (4)(8)	F03	F08	F13	F16	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	02 - SROI	Change	A01	A03	F04	F03	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	04 - SROI	Denial	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	4P	Partial Denial	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	AB	Add Concurrent Benefit Type	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	AC	Acquisition/Indemnity Ceased	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	AP	Acquired/Payment	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CA	Change in Benefit Amount	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CB	Change in Benefit Type	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CD	Compensable Death – No Known Dependents/Payees	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CO-SROI	Correction	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	EP	Employer Paid	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	ER	Employer Reinstatement	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	FN	Final	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	FS	Full Salary	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	IP	Initial Payment	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	NT	Narrative	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	PD	Partial Denial	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	P1-PJ	Partial Suspension (7)	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	PX	Partial Suspension, RTW or Med Determined/Qualified to RTW	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	PY	Payment Report	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	RE	Reduced Earnings (7)	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	RB	Reinstatement of Benefit	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	S1-SJ	Full Suspension (7)	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	SU	Sync Up	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	SX	Full Suspension	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	UI	Under Investigation	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	UR	Update Report	A01	A02	A03	F04	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	UR(G)	Upon Request (Grandfathered) (9)	A01	A02	A03	F04	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	VE	Volunteer	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	AN	Annual	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	BM	Bi-Monthly	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	BW	Bi-Weekly	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	MN	Monthly	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	QT	Quarterly	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	SA	Sub-Annual	A01	A03	F03		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP

(2) MTC Accepted for Seq Y or N

MTC Accepted for Seq Y or N: Y (Yes) or N (No) with Blue Shaded/Not Shaded MTCs

Blue Shaded/Not Shaded:
- ➢ Blue Shaded: IAIABC defined MTC cannot follow.
- ➢ Not shaded: IAIABC defined MTC can follow, with consideration of Sequencing Exception Edits.

< Consider MTC in Seq C or X)	If Last Accepted SROI MTC Is:	Last SROI MTC Description	FROI Sequencing Exception Edits (3)				Y	Y	Y	N	N	Y	Y	N	N	Y	Y	Y	N
		MTC Accepted for Seq Y or N > (2)					00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	JH	Legacy Claim (4)(8)	F03	F08	F13	F16	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	02 - SROI	Change	A01	A03	F04	F03	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	04 - SROI	Denial	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	4P	Partial Denial	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	AB	Add Concurrent Benefit Type	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	AC	Acquisition/Indemnity Ceased	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	AP	Acquired/Payment	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CA	Change in Benefit Amount	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CB	Change in Benefit Type	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CD	Compensable Death – No Known Dependents/Payees	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CO-SROI	Correction	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	EP	Employer Paid	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	ER	Employer Reinstatement	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	FN	Final	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	FS	Full Salary	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	IP	Initial Payment	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	NT	Narrative	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	PD	Partial Denial	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	P1-PJ	Partial Suspension (7)	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	PX	Partial Suspension, RTW or Med Determined/Qualified to RTW	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	PY	Payment Report	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	RE	Reduced Earnings (7)	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	RB	Reinstatement of Benefit	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	S1-SJ	Full Suspension (7)	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	SU	Sync Up	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	SX	Full Suspension	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP

FROI Sequencing Exception Edits (3)

Not shaded: MTC can follow with consideration of Sequencing Exception Edits.
Blue Shaded: IAIABC defined MTC cannot follow.
MTC Accepted for Seq: Y or N (2)

See Sequencing Exception Edits (3) for edits concerning an unblue MTC

MTC Accepted Y or N

Blue Shaded/ Not Shaded

(3) FROI and SROI Sequencing Exception Edits

FROI and SROI Sequencing Exception Edits: This table is used to communicate sequencing exception edits that may be applied beyond a typical MTC to MTC edit however not down to the DN level. Alaska has placed a Y or N in the 'Edit Applied?' column if the 'Sequencing Exception Edit' will be applied.

Edit Applied? ST-Y/N	Edit ID	Sequencing Exception Edits Note: A Jurisdiction should contact the Systems Committee if additions or changes are needed.	DN0291 Element Error Text (Recommended) Note: A Jurisdiction may change the text. Recommend keeping the Edit ID as part of the text.
AK-N	A01	Only a FROI 01 Cancel is allowed to follow. Business Reason: Jurisdiction may have notified the Trading Partner to cancel the claim.	JUR requested this JCN to be cancelled (A01)
AK-Y	A02	FROI 01 Cancel is not allowed to follow. Business Reason: Jurisdiction may have notified the Trading Partner that the claim cannot be cancelled.	JUR will not allow this JCN to be cancelled (A02)
AK-N	A03	If MTC CO (Correction) is accepted, then for ANY MTC on file in TE (Transaction Accepted with Error) acknowledgment status, a MTC CO must be on file with a TA (Transaction Accepted) acknowledgment status before any other MTC will be accepted. Re: R3.1 Guide ERROR CORRECTION PROCESS GUIDELINES	TE ack on file, send CO to proceed(A03)
AK-Y	C01	If you submit a FROI UR(G) for a claim that is not a legacy claim for that insurer, then your incoming FROI will be rejected.	Case is not a legacy claim, FROI UR(G) not allowed (C01)
AK-Y	C02	If you submit a FROI-UR(G) on a legacy claim which has already had a FROI-UR (G) accepted for that insurer, then your incoming FROI UR(G) will be rejected.	Legacy claim already has FROI UR(G) (C02)
AK-N	C03	If you submit any FROI 00/04/AQ/AU on a legacy claim which has already had a FROI-UR (G) accepted for that insurer, then your incoming FROI UR (G) will be rejected.	Legacy claim needs a FROI UR(G) (C03)
AK-Y	F01	FROI 00 or 01 or 04 (if accepted by jurisdiction) allowed to follow if no previous same FROI accepted or accepted w/error	Prev FROI not TA or TE ack status (F01)
AK-Y	F02	FROI 02 allowed to follow if the Sender ID Group FEIN is same as Group FEIN for the previous Sender ID per latest report	Contact Juris for Group FEIN (F02)
AK-Y	F03	MTC's allowed to follow based upon the MTC that preceded the latest accepted FROI or SROI report on file. (excluding all 02, CO and Periodics)An intervening SROI Periodic (BM, BW, MN, QT, SA, AN), 02, CO allowed (if MTC valid in Jurisdiction).	Seq is based on prior MTC (F03)
AK-Y	F04	FROI AQ or FROI AU is allowed to follow if it is from different Claim Admin FEIN DN0187	Expect different Claim Admin FEIN on AQ/AU (F04)
AK-N	F05	FROI MTCs are allowed to follow if JCN (DN0005) is BLANK (to create new claim)	JCN expected to be blank (F05)
AK-Y	F06	FROI 00 is allowed to follow if no previous FROI 00 accepted or accepted w/error	FROI 00 on file (dup) with TA/TE ack status (F06)
AK-N	F07	SROI UR allowed If HD1 Sender ID = Sender ID FEIN: tbd Postal Code: tbd	SROI UR Not allowed per Sender (F07)
AK-N	F08	FROI Claim from Employee (JE) or Claim Other (JP) is allowed if HD1 Sender ID = JURISDICTION FEIN and Postal Code	No JE or JP is allowed (F08)
AK-Y	F09	FROI 01, 02 or CO is allowed to follow if HD1 Sender ID = JURISDICTION FEIN and Postal Code and the latest report is FROI Claim from Employee (JE) or Claim Other (JP) or Legacy (JH)	No FROI 01 02 CO allowed after JE or JP (F09)
AK-N	F10	FROI or SROI UI is allowed to follow if no previous FROI or SROI UI accepted or accepted w/error	UI on file with TA/TE ack status (F10)
AK-Y	F11	Any FROI MTC can follow. Technical: Apply no sequencing edit when the latest FROI is FROI UR. FROI CO Exception (if applicable in jurisdiction): The FROI CO will only be accepted if a FROI UR on file is in TE ack status. If not, FROI CO will receive 063 error. Normal sequencing will apply to the MTC that follows the FROI UR. Business Note: FROI MTC that follows the FROI UR should reflect the next action needed on the claim(s) as determined by the trading partner sending the data.	Apply no seq edit when the latest FROI is FROI UR(F11)
AK-Y	F12	If the Last Submitted FROI MTC is in TE status and the incoming FROI from a different Claim Admin FEIN is FROI MTC AU or FROI MTC AQ, then allow the FROI MTC AU or FROI MTC AQ to be processed by not requiring the FROI CO (Bypass the MTC CO requirement because the prior Claim Administrator had the error on file and now the new Claim Administrator is taking over the claim and cannot resolve the error. Per IAIABC R3 Guide Error Correction Process in Section 4: Jurisdictions should not expect acquiring claim administrators to correct TEs from prior claim administrators (refer to Acquired Claims Processing).	FROI AQ AU mustBeFromDifferentClaimAdmin (F12)
AK-N	F13	For blue shaded MTC's: If the Legacy Claim (JH) is found and any blue shaded FROI or SROI MTC is submitted first other than a FROI unblue shaded MTC's return a TR acknowledgment with DN0002 MTC with Error 063 – Invalid event sequence.	Legacy Clm rcvd-send MTC UR FROI(F13)
AK-N	F14	For greyed MTC's: If the legacy claim (MTC JH) is NOT found and any greyed FROI or SROI MTC is submitted first other than a FROI ungreyed MTC's return a TR acknowledgment with DN0002 MTC with Error 063 – Invalid event sequence.	No Legacy rcvd-UR invalid-send diff FROI MTC(F14)
AK-N	F16	Legacy Claim (JH): If you Trigger FROI MTC UR and there is no Legacy Claim (JH) on file then the FROI UR is rejected.	NoLegacy rcvd-URInvalid-sendDiffFROIMTC(F16)
AK-Y	F17	JCN (DN0005) should be returned on acknowledgment if incoming FROI finds a match on a Claim From Employee (JE) or Claim Other (JP) or Legacy Claim (JH)	
AK-N	F18	MTC for Legacy Claim (MTC JH): If the claim (Any MTC for Legacy Claim as defined by state) is NOT found then the FROI UR is rejected.	No Legacy rcvd-UR Invalid-send diff FROI MTC(F18)

| ... | SROI Sequencing R3.1 | ADOL Legacy Definition | FROI Sequencing R3.1 | **Sequencing Exception Edits R3.1** | (+) |

(3) FROI and SROI Sequencing Exception Edits

The exception edits are found in the FROI or SROI Sequencing Exceptions column

< Consider MTC in Seq C or X (1)	If Last Accepted SROI MTC Is:	Last SROI MTC Description	FROI Sequencing Exception Edits (3)																
		MTC Accepted for Seq Y or N > (2)					Y	Y	Y	N	N	Y	Y	N	N	Y	Y	Y	N
X	JH	Legacy Claim (4)(8)	F03	F08	F13	F16	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	02 - SROI	Change	A01	A03	F04	F03	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	04 - SROI	Denial	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	4P	Partial Denial	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	AB	Add Concurrent Benefit Type	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	AC	Acquisition/Indemnity Ceased	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	AP	Acquired/Payment	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CA	Change in Benefit Amount	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CB	Change in Benefit Type	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CD	Compensable Death – No Known Dependents/Payees	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	CO-SROI	Correction	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	EP	Employer Paid	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	ER	Employer Reinstatement	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	FN	Final	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	FS	Full Salary	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	IP	Initial Payment	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	NT	Narrative	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	PD	Partial Denial	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	P1-PJ	Partial Suspension (7)	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	PX	Partial Suspension, RTW or Med Determined/Qualified to RTW	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	PY	Payment Report	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	RE	Reduced Earnings (7)	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	RB	Reinstatement of Benefit	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	S1-SJ	Full Suspension (7)	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	SU	Sync Up	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
C	SX	Full Suspension	A01	A03	F04		00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	UI	Under Investigation	A01	A03			00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP
X	UR	Update Report	A01	A02	A03	F04	00	01	02	04	CO	AQ	AU	UI	UR	UR(G)	JH	JE	JP

FROI

Not shaded: MTC can follow with consideration of Sequencing Exception Edits.
Blue Shaded: IAIABC defined MTC cannot follow.
MTC Accepted for Seq: Y or N (2)

See Sequencing Exception Edits (3) for edits concerning an unblue MTC

How do I get help?

Please send all questions to the Alaska EDI Support Team via email at ADOLEDI@ISO.COM.

If the question is specifically about something in this Information Session, please indicate "Info Session Question" in the subject line.

How do I get help?

If you feel you need further training on understanding the IAIABC R3.1 format, the IAIABC is hosting a Virtual Claims Release 3.1 training on March 23 and 24, 2021

You can find further details and registration at IAIABC.org under the Events & Education dropdown, then under EDI Training

Virtual EDI Claims Release 3.1 Training

BACK TO EVENTS

IAIABC ACCREDITED

EDI CLAIMS

WORKERS' COMPENSATION

📅 Tuesday, March 23, 2021 at 9:00 AM (CDT)
to Wednesday, March 24, 2021 at 1:00 PM (CDT)

REGISTER NOW

THANK YOU

**For watching the
Alaska Department of Labor and
Workforce Development (ADOL)
EDI Claims Release 3.1
Information Session**